

Chelsea and Westminster Hospital

NHS Foundation Trust

In Year Financial Reporting return

Chelsea and Westminster NHS FT

Report of Actual performance against Plan to 30 Sep 2013
Plan data sourced from your latest submitted plan

Customised for Acute FT with MARS ID 'CHELSEA'.

IYR template version 14.4.2.7

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Worksheet "Index"

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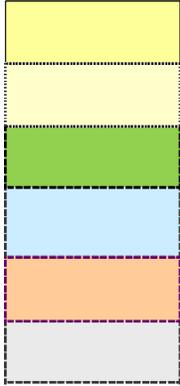
Index to workbook

This index page also allows you to set a selection of sheets to print in one go.

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Monitor In-Year Reporting (IYR) Template General Guidance

Cell colour coding



These cells are unlocked and Trusts should either be entering the required description or a numeric value. These are past values. Generally an input (or zero) is required.

These cells are unlocked and depending on your circumstances an input may or may not be required. If required Trusts should either be entering the required description or a numeric value.

These cells are unlocked and Trusts should either be entering the required description or a numeric value. The values relate to the 2012-13 financial year (i.e are past not future values)

These cells are locked and are populated with values taken from your Annual Plan (or LTFM plan at the time of authorisation) or any later reforecast plan.

If you can see these cells they are unlocked and you can input a numeric value for a prior period.

These Cells have values calculated from other values on the same worksheet. These cells are locked and Trusts are unable to input into them.

Signage Rules

Income	Income should entered as positive values.
Expenditure	Expenditure should be entered as negative values.
Assets	Assets should be entered as positive values.
Liabilities	Liabilities should be entered as negative values.
Equity	Equity should be entered as positive values.

Materiality

Checks in this workbook will allow disagreements of £0.01m before an explanation is required

Suggested approach

- 1) enter your financial results for the 2013-14 financial year to date in the yellow cells on the **SoCI**, **SoFP** and **SoCF** worksheets
- 2) enter your analysis and description of variances from your plan on the **Variances** worksheet
- for quarterly returns only
- 3) enter your risks on the **Finance Risk Indicators** worksheet
- 4) enter your results on the **Target and Indicators** worksheet
- 5) complete the various Board declarations
- for all returns
- 6) review the worksheet **Checks**
- 7) put your logo and your contact details on the cover
- 8) put a board member's signature on the cover
- 9) login to MARS and put the return in the outbox



Worksheet "SoCI"

[Click to go to index](#)

Quarterly planned and actual statement of comprehensive income for CHELSEA

This layout is identical to your Annual Plan

	units	sense	Audited for Year ending 31-Mar-13	Plan for Quarter ending 30-Jun-13	Actual for Quarter ending 30-Jun-13	Plan for Quarter ending 30-Sep-13	Actual for Quarter ending 30-Sep-13	Plan for Quarter ending 31-Dec-13	Plan for Quarter ending 31-Mar-14	Plan for Year ending 31-Mar-14	Plan for Year to date ending 30-Sep-13	Actual for Year to date ending 30-Sep-13	Variance for Year to date ending 30-Sep-13
Operating													
NHS Clinical Revenue													
NHS Ambulance activity revenue													
A&E - Cost & Volume/PbR revenue	£m	(+ve)	-							-	-	-	-
PTS - Cost & Volume/PbR revenue, PCTs	£m	(+ve)	-							-	-	-	-
PTS - Cost & Volume/PbR revenue, non PCTs	£m	(+ve)	-							-	-	-	-
Other - Cost & Volume/PbR revenue	£m	(+ve)	-							-	-	-	-
A&E - Block Contract revenue	£m	(+ve)	-							-	-	-	-
PTS - Block Contract revenue, PCTs	£m	(+ve)	-							-	-	-	-
PTS - Block Contract revenue, non PCTs	£m	(+ve)	-							-	-	-	-
Other - Block Contract revenue	£m	(+ve)	-							-	-	-	-
Other clinical revenue from mandatory services	£m	(+ve)	-							-	-	-	-
NHS Ambulance activity Income, Total	£m		0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
NHS Community activity revenue													
Cost & Volume/PbR revenue, inpatient activity	£m	(+ve)	-							-	-	-	-
Cost & Volume/PbR revenue, outpatient activity	£m	(+ve)	-							-	-	-	-
Cost & Volume/PbR revenue, community based activity	£m	(+ve)	0.543	0.210	0.155	0.210	0.152	0.210	0.210	0.838	0.419	0.307	(0.112)
Block Contract revenue, inpatient activity	£m	(+ve)	-							-	-	-	-
Block Contract revenue, outpatient activity	£m	(+ve)	-							-	-	-	-
Block Contract revenue, community based activity	£m	(+ve)	3.096	0.525	0.525	0.525	0.525	0.525	0.525	2.101	1.050	1.050	(0.000)
Block Contract revenue, other activity	£m	(+ve)	-							-	-	-	-
NHS Community activity revenue, Total	£m	(+ve)	3.639	0.735	0.680	0.735	0.677	0.735	0.735	2.939	1.469	1.357	(0.112)
NHS Mental Health activity Income													
High Cost Low Volume Activity - Cost & Volume Contract revenue	£m	(+ve)	-							-	-	-	-
Short term episodic treatment - Cost & Volume Contract revenue	£m	(+ve)	-							-	-	-	-
Other - Cost & Volume Contract revenue	£m	(+ve)	-							-	-	-	-
Block contract #1	£m	(+ve)	-							-	-	-	-
Block contract #2	£m	(+ve)	-							-	-	-	-
Block contract #3	£m	(+ve)	-							-	-	-	-
Block contract #4	£m	(+ve)	-							-	-	-	-
Clinical Partnerships providing mandatory services (including S75 agreements)	£m	(+ve)	-							-	-	-	-
Clinical income for the Secondary Commissioning of mandatory services	£m	(+ve)	-							-	-	-	-
Other clinical income from mandatory services	£m	(+ve)	-							-	-	-	-
NHS Mental Health activity Income, Total	£m		0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
NHS Acute Activity Income													
Elective inpatients													
Tariff revenue	£m	(+ve)	16.545	4.320	3.654	4.462	4.173	4.880	4.760	18.421	8.781	7.827	(0.954)
Non-Tariff revenue	£m	(+ve)	2.635	0.715	1.057	0.714	0.393	0.731	0.767	2.928	1.429	1.450	0.021
Elective activity revenue, Total	£m		19.180	5.035	4.711	5.175	4.566	5.611	5.527	21.349	10.210	9.277	(0.933)
Elective day case patients (Same day)													
Tariff revenue	£m	(+ve)	21.521	6.172	6.062	6.251	6.934	6.477	6.730	25.629	12.422	12.996	0.574
Non-Tariff revenue	£m	(+ve)	1.343	0.265	0.849	0.277	0.237	0.307	0.335	1.185	0.543	1.086	0.543
Elective Day Case activity revenue, Total	£m		22.864	6.437	6.911	6.528	7.171	6.784	7.066	26.814	12.965	14.082	1.117
Non-Elective patients													
Tariff revenue	£m	(+ve)	60.568	14.228	11.905	14.285	14.336	14.591	14.614	57.719	28.513	26.241	(2.272)
Non-Tariff revenue	£m	(+ve)	6.919	0.473	2.933	0.497	0.834	0.504	0.464	1.938	0.970	3.767	2.797
Non-Elective activity revenue, Total	£m		67.488	14.701	14.838	14.783	15.170	15.096	15.078	59.658	29.483	30.008	0.525
Outpatients													
Tariff revenue	£m	(+ve)	57.979	11.992	11.805	12.383	13.419	12.344	12.754	49.473	24.375	25.224	0.849
Non-Tariff revenue	£m	(+ve)	10.244	6.260	6.772	6.351	6.490	6.194	6.508	25.313	12.611	13.262	0.651
Outpatients activity revenue, Total	£m		68.223	18.252	18.577	18.734	19.909	18.538	19.262	74.786	36.986	38.486	1.500
A&E													
Tariff revenue	£m	(+ve)	6.395	1.599	1.494	1.474	1.511	1.683	1.660	6.415	3.073	3.005	(0.068)
Non-Tariff revenue	£m	(+ve)	5.238	1.268	1.323	1.220	1.259	1.282	1.387	5.158	2.489	2.582	0.093
A&E activity revenue, Total	£m		11.634	2.868	2.817	2.694	2.770	2.965	3.047	11.574	5.562	5.587	0.025
Other NHS activity													
Tariff revenue	£m	(+ve)	-	0.462	0.257	0.473	0.544	0.455	0.470	1.860	0.936	0.801	(0.135)
Non-Tariff revenue	£m	(+ve)	98.344	24.111	24.078	24.188	25.406	24.178	24.120	96.597	48.298	49.484	1.186
Other NHS activity revenue, Total	£m		98.344	24.573	24.335	24.661	25.950	24.633	24.590	98.457	49.234	50.285	1.051
Total NHS Tariff income	£m		163.009	38.773	35.177	39.328	40.917	40.429	40.988	159.518	78.101	76.094	(2.007)
Total NHS Non-Tariff income	£m		124.724	33.093	37.012	33.248	34.619	33.197	33.582	133.120	66.340	71.631	5.291
NHS Acute Activity Income, Total	£m		287.732	71.865	72.189	72.576	75.536	73.626	74.570	292.637	144.441	147.725	3.284
Sub-total NHS Clinical Revenue	£m		291.371	72.600	72.869	73.311	76.213	74.361	75.305	295.576	145.911	149.082	3.171
Contract penalties or adjustments not included above	£m	(-/+ve)	-							-	-	-	-
NHS Clinical Revenue, Total	£m		291.371	72.600	72.869	73.311	76.213	74.361	75.305	295.576	145.911	149.082	3.171
Non Mandatory/Non protected revenue													
Private patient revenue	£m	(+ve)	11.921	3.304	2.759	3.326	3.424	3.380	3.418	13.429	6.631	6.183	(0.448)
Other Non Mandatory/Non protected clinical revenue	£m	(+ve)	1.619	0.600	0.375	0.601	0.392	0.603	0.605	2.410	1.202	0.767	(0.435)
Non Mandatory/Non protected revenue, Total	£m		13.540	3.905	3.134	3.928	3.816	3.983	4.023	15.839	7.833	6.950	(0.883)
Other Operating Revenue													
Research and development revenue	£m	(+ve)	5.405	1.157	1.156	1.120	1.160	1.083	0.373	3.733	2.277	2.316	0.039
Education and training revenue	£m	(+ve)	25.348	6.006	5.965	6.006	6.258	6.006	6.006	24.023	12.012	12.223	0.211
PFI or other non-recurrent revenue support	£m	(+ve)	-							-	-	-	-
PFI or other recurrent revenue support	£m	(+ve)	-							-	-	-	-
Donations received in cash & to fund Operating Expenses	£m	(+ve)	0.057		0.009		0.012			-	-	0.021	0.021
Grants received in cash & to fund Operating Expenses	£m	(+ve)	-							-	-	-	-
Donations & Grants received of PPE & intangible assets (see comment)	£m	(+ve)	-							-	-	-	-
Donations & Grants received of cash to buy PPE & intangible assets (see comment)	£m	(+ve)	1.929	1.000	1.000	0.000	0.000	0.000	2.453	3.453	1.000	1.000	0.000
Donations & Grants received of PPE & intangible assets (see comment)	£m		1.929	1.000	1.000	0.000	0.000	0.000	2.453	3.453	1.000	1.000	0.000
Parking revenue	£m	(+ve)	0.900	0.212	0.249	0.212	0.229	0.212	0.212	0.846	0.423	0.478	0.055
Catering revenue	£m	(+ve)	0.013	0.004	0.004	0.004	0.001	0.004	0.004	0.017	0.009	0.005	(0.004)
Accommodation revenue	£m	(+ve)	1.916	0.446	0.532	0.446	0.324	0.446	0.446	1.785	0.893	0.856	(0.037)
Revenue from non-patient services to other bodies	£m	(+ve)	0.441	0.112	0.117	0.112	0.117	0.112	0.112	0.448	0.224	0.234	0.010
Misc. other operating revenue	£m	(+ve)	4.997	0.896	1.111	1.063	2.819	1.063	1.063	4.085	1.959	3.930	1.971
Other Operating Revenue, Total	£m		41.007	9.834	10.143	8.963	10.920	8.926	10.669	38.392	18.797	21.063	2.266
Operating Revenue, IFRS, Total	£m		345.918	86.339	86.146	86.201	90.949	87.270	89.997	349.807	172.540	177.095	4.555
Operating Expenses													
Raw Materials and Consumables Used													
Drugs	£m	(-ve)	(55.433)	(14.410)	(15.293)	(14.580)	(15.992)	(14.751)	(14.921)	(58.662)	(28.990)	(31.285)	(2.295)
Clinical supplies	£m	(-ve)	(37.239)	(8.983)	(9.226)	(8.948)	(9.863)	(9.001)	(8.957)	(35.890)	(17.932)	(19.089)	(1.157)
Decrease (increase) in inventories of finished goods & WIP	£m	(-ve)	-							-	-	-	-
Vehicle Fuel costs (ambulance trusts)	£m	(-ve)	-							-	-	-	-
Non-clinical supplies	£m	(-ve)	(40.276)	(10.390)	(10.187)	(10.450)	(10.489)	(10.857)	(10.916)	(42.613)	(20.840)	(20.676)	0.164
Raw Materials and Consumables Used, Total	£m		(132.947)	(33.783)	(34.706)	(33.979)	(36.344)	(34.609)	(34.793)	(137.164)	(67.762)	(71.050)	(3.288)
Ambulance trust vehicle operating expenses													
Vehicle insurance costs	£m	(-ve)	-										

Worksheet "SoCI"

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Quarterly planned and actual statement of comprehensive income for CHELSEA

This layout is identical to your Annual Plan

		Audited for Year ending 31-Mar-13	Plan for Quarter ending 30-Jun-13	Actual for Quarter ending 30-Jun-13	Plan for Quarter ending 30-Sep-13	Actual for Quarter ending 30-Sep-13	Plan for Quarter ending 31-Dec-13	Plan for Quarter ending 31-Mar-14	Plan for Year ending 31-Mar-14	Plan for Year to date ending 30-Sep-13	Actual for Year to date ending 30-Sep-13	Variance for Year to date ending 30-Sep-13
Operating												
Impairment (Losses) / Reversals net (on non-PFI assets)	units	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Impairment (Losses) / Reversals net - PFI assets	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Restructuring Costs	£m (-ve)	-	-	-	-	-	-	-	-	-	-	-
Operating Expenses excluded from EBITDA, Total	£m (-ve)	(11.690)	(3.242)	(3.138)	(3.254)	(3.242)	(3.176)	(3.235)	(12.907)	(6.496)	(6.380)	0.116
Operating Expenses IFRS, Total	£m	(322.015)	(82.271)	(83.479)	(82.451)	(87.164)	(83.275)	(81.734)	(329.731)	(164.721)	(170.643)	(5.922)
Surplus (Deficit) from Operations	£m	23.903	4.068	2.667	3.751	3.785	3.995	8.263	20.076	7.819	6.452	(1.367)
Non Operating												
Non-Operating income												
Finance Income [for non-financial activities]												
Gain (Loss) on Financial Instruments Designated as Cash Flow Hedges	£m (+ve)	-	-	-	-	-	-	-	-	-	-	-
Gain (Loss) on Derecognition of Available-for-Sale Financial Assets	£m (+ve)	-	-	-	-	-	-	-	-	-	-	-
Gain (Loss) on Derecognition of Non-Current Assets Not Held for Sale, Total	£m (+ve)	-	-	-	-	-	-	-	-	-	-	-
Gain (Loss) on Investments & Inv.Property (Not charitable funds)	£m (+ve)	-	-	-	-	-	-	-	-	-	-	-
Interest Income	£m (+ve)	0.156	0.025	0.027	0.025	0.020	0.025	0.025	0.100	0.050	0.047	(0.003)
Dividend Income	£m (+ve)	-	-	-	-	-	-	-	-	-	-	-
Share of profit (loss) from equity accounted Associates, Joint Ventures, Total	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Finance Income [for non-financial activities], Total	£m	0.156	0.025	0.027	0.025	0.020	0.025	0.025	0.100	0.050	0.047	(0.003)
Other Non-Operating income	£m											
Gain/(loss) on asset disposals	£m (+/-ve)	(0.120)	-	-	-	0.008	-	-	-	-	0.008	0.008
Gain/(loss) on transfers by absorption	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Income of NHS Charitable funds (if consolidated)	£m (+ve)	-	-	-	-	-	-	-	-	-	-	-
Gain (Loss) of NHS Charitable funds' investments (if consol.)	£m (+ve)	-	-	-	-	-	-	-	-	-	-	-
Other Non-Operating income	£m (+ve)	-	-	-	-	-	-	-	-	-	-	-
Other Non-Operating income, Total	£m	(0.120)	0.000	0.000	0.000	0.008	0.000	0.000	0.000	0.000	0.008	0.008
Non-Operating income, Total	£m	0.036	0.025	0.027	0.025	0.028	0.025	0.025	0.100	0.050	0.055	0.005
Non-Operating expenses												
Finance Costs [for non-financial activities]												
Interest Expense												
Interest Expense on Overdrafts and Working Capital Facilities	£m (-ve)	-	-	-	-	-	-	-	-	-	-	-
Interest Expense on Bridging loans	£m (-ve)	-	-	-	-	-	-	-	-	-	-	-
Interest Expense on Non-commercial borrowings	£m (-ve)	(0.831)	(0.205)	(0.205)	(0.196)	(0.196)	(0.193)	(0.220)	(0.814)	(0.401)	(0.401)	-
Interest Expense on Commercial borrowings	£m (-ve)	-	-	-	-	-	-	-	-	-	-	-
Interest Expense on Finance leases (non-PFI)	£m (-ve)	(0.100)	(0.032)	(0.032)	(0.031)	(0.031)	(0.026)	(0.026)	(0.115)	(0.063)	(0.063)	-
Interest Expense on PFI leases & liabilities	£m (-ve)	-	-	-	-	-	-	-	-	-	-	-
Interest Expense, Total	£m	(0.931)	(0.237)	(0.237)	(0.227)	(0.227)	(0.219)	(0.246)	(0.929)	(0.464)	(0.464)	0.000
Other Finance Costs	£m (-ve)	(0.019)	-	-	-	-	-	-	-	-	-	-
PDC dividend expense	£m (-ve)	(9.947)	(2.559)	(2.559)	(2.560)	(2.648)	(2.560)	(2.560)	(10.239)	(5.119)	(5.207)	(0.088)
Finance Costs [for non-financial activities], Total	£m	(10.896)	(2.796)	(2.796)	(2.787)	(2.875)	(2.779)	(2.806)	(11.168)	(5.583)	(5.671)	(0.088)
Other Non-Operating expenses												
Non-Operating PFI costs (eg contingent rent)	£m (-ve)	-	-	-	-	-	-	-	-	-	-	-
Historic Expenditure of NHS Charitable Funds (if consolidated)	£m (-ve)	-	-	-	-	-	-	-	-	-	-	-
Other Non-Operating expenses (developments)	£m (-ve)	-	-	-	-	-	-	-	-	-	-	-
Misc Other Non-Operating expenses	£m (-ve)	-	-	-	-	-	-	-	-	-	-	-
Other Non-Operating expenses, Total	£m	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Non-Operating expenses, Total	£m	(10.896)	(2.796)	(2.796)	(2.787)	(2.875)	(2.779)	(2.806)	(11.168)	(5.583)	(5.671)	(0.088)
Surplus (Deficit) before Tax	£m	13.043	1.297	(0.102)	0.989	0.938	1.241	5.482	9.008	2.286	0.836	(1.450)
Income Tax (expense)/ refund	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Surplus (Deficit) After Tax	£m	13.043	1.297	(0.102)	0.989	0.938	1.241	5.482	9.008	2.286	0.836	(1.450)
Profit/(loss) from discontinued Operations, Net of Tax	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Surplus (Deficit) After Tax from Continuing Operations	£m	13.043	1.297	(0.102)	0.989	0.938	1.241	5.482	9.008	2.286	0.836	(1.450)
Elements of Comprehensive Income												
Share of comprehensive income from associates and joint ventures	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Revaluation gains/(losses) of donated/granted assets straight to reval reserve	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Revaluation gains/(losses) of purchased/constructed assets straight to reval reserve	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Revaluation gains/(losses) straight to revaluation reserve	£m	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
(Impairments)/reversals of purchased/constructed assets straight to reval reserve	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
(Impairments)/reversals of donated/granted assets straight to reval reserve	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Impairments/(reversals) straight to revaluation reserve	£m	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Fair Value gains/(losses) straight to reserves	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Additions/(reduction) in "Other reserves"	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Gain/loss on relevant transfers (1st April)	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Other recognised gains/(losses) straight to reserves	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Actuarial gains/(losses) on defined benefit pension schemes	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Elements of Comprehensive Income, Total	£m	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Total Comprehensive Surplus/(Deficit)	£m	13.043	1.297	(0.102)	0.989	0.938	1.241	5.482	9.008	2.286	0.836	(1.450)
Memorandum lines												
Total Revenue	£m	345.954	86.364	86.173	86.226	90.977	87.295	90.022	349.907	172.590	177.150	4.560
Total Expenses	£m	(332.911)	(85.067)	(86.275)	(85.238)	(90.039)	(86.054)	(84.540)	(340.899)	(170.304)	(176.314)	(6.010)
Total Operating Revenue for EBITDA	£m	343.989	85.339	85.146	86.201	90.949	87.270	87.544	346.354	171.540	176.095	4.555
Total Operating Expenses for EBITDA	£m	(310.325)	(79.029)	(80.341)	(79.197)	(83.922)	(80.099)	(78.499)	(316.824)	(158.225)	(164.263)	(6.038)
EBITDA (for FRR calculation: Plan values from APR)	£m	33.664	6.310	4.805	7.005	7.027	7.171	9.045	29.530	13.315	11.832	(1.483)
Operating Surplus (Deficit)	£m	23.903	4.068	2.667	3.751	3.785	3.995	8.263	20.076	7.819	6.452	(1.367)
Surplus (Deficit) After Tax (for FRR calculation)	£m	13.043	1.297	(0.102)	0.989	0.938	1.241	5.482	9.008	2.286	0.836	(1.450)
Return After Financing (for FRR calculation)	£m	11.234	0.297	(1.102)	0.989	0.930	1.241	3.029	5.555	1.286	(0.172)	(1.458)
OTHER INFORMATION												
Revenue Generation Programmes												
Revenue Generation, net	£m (+/-ve)	5.227	0.885	0.390	0.960	1.144	1.138	1.264	4.247	1.845	1.535	(0.310)
Cost Improvement Programmes												
Pay Expense savings CIP recurrent	£m (+/-ve)	5.393	1.013	0.490	1.097	1.361	1.302	1.447	4.858	2.110	1.852	(0.258)
Pay Expense savings CIP non-recurrent	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Pay Expense savings CIP, TOTAL	£m	5.393	1.013	0.490	1.097	1.361	1.302	1.447	4.858	2.110	1.852	(0.258)
Drugs expense savings CIP recurrent	£m (+/-ve)	0.745	0.058	0.065	0.063	0.065	0.075	0.083	0.280	0.122	0.130	0.009
Drugs expense savings CIP non-recurrent	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Drugs expense savings CIP, TOTAL	£m	0.745	0.058	0.065	0.063	0.065	0.075	0.083	0.280	0.122	0.130	0.009
Clinical Supplies expense savings CIP recurrent	£m (+/-ve)	1.895	0.412	0.174	0.447	0.377	0.530	0.589	1.978	0.859	0.552	(0.307)
Clinical Supplies expense savings CIP non-recurrent	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Clinical Supplies expense savings CIP, TOTAL	£m	1.895	0.412	0.174	0.447	0.377	0.530	0.589	1.978	0.859	0.552	(0.307)
Non-clinical Supplies expense savings CIP recurrent	£m (+/-ve)	3.822	1.164	0.358	1.261	0.386	1.497	1.663	5.585	2.425	0.743	(1.682)
Non-clinical Supplies expense savings CIP non-recurrent	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Non-clinical Supplies expense savings CIP, TOTAL	£m	3.822	1.164	0.358	1.261	0.386	1.497	1.663	5.585	2.425	0.743	(1.682)
Misc. Other Operating Expenses CIP recurrent	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Misc. Other Operating Expenses CIP non-recurrent	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Misc. Other Operating Expenses CIP, TOTAL	£m	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Other expense savings CIP, recurrent	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Other expense savings CIP non-recurrent	£m (+/-ve)	-	-	-	-	-	-	-	-	-	-	-
Other expense savings CIP, TOTAL	£m	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Cost Improvement Programmes, Total	£m	11.855	2.648	1.088	2.868	2.189	3.404	3.782	12.702	5.516	3.277	(2.239)
MEMORANDUM lines												

Worksheet "SoCF"

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Quarterly planned and actual statement of cash flow for CHELSEA

This layout is identical to your Annual Plan

	units	sense	Audited for Year ending 31-Mar-13	Plan for Quarter ending 30-Jun-13	Actual for Quarter ending 30-Jun-13	Plan for Quarter ending 30-Sep-13	Actual for Quarter ending 30-Sep-13	Plan for Quarter ending 31-Dec-13	Plan for Quarter ending 31-Mar-14	Plan for Year ending 31-Mar-14	Plan for YTD ending 30-Sep-13	Actual for YTD ending 30-Sep-13
Surplus/(deficit) after tax	£m		13.043	1.297	(0.102)	0.989	0.938	1.241	5.482	9.008	2.286	0.836
Non-cash flows in operating surplus/(deficit)												
AMENDED Tax expense/(refund)	£m	(refund -ve)	-	-	-	-	0.000	-	-	-	-	-
AMENDED Finance (income)/charges	£m	(+/-ve)	0.775	0.212	0.210	0.202	0.207	0.194	0.221	0.829	0.414	0.417
AMENDED Share of (profit)/loss from equity accou	£m	(profit -ve)	-	-	-	-	-	-	-	-	-	-
AMENDED Donations & grants received of PPE & i	£m	(donations -ve)	-	-	-	-	-	-	-	-	-	-
AMENDED Other operating non-cash (revenues)/ e	£m	(revenue -ve)	(0.578)	0.175	(0.065)	0.175	(0.316)	0.175	0.175	0.700	0.350	(0.381)
Depreciation and amortisation, total	£m	(+ve)	11.690	3.242	3.138	3.254	3.242	3.176	3.235	12.907	6.496	6.380
Impairment losses/(reversals)	£m	(losses +ve)	-	-	-	-	0.000	-	-	-	-	-
Unrealised (gains)/losses on foreign cur	£m	(gains -ve)	-	-	-	-	-	-	-	-	-	-
AMENDED (Gain)/loss on disposal of property plant	£m	(gains -ve)	(0.120)	-	-	-	-	-	-	-	-	-
AMENDED (Gain)/loss on disposal of intangible ass	£m	(gains -ve)	-	-	-	-	-	-	-	-	-	-
AMENDED Share of (profit)/loss from investments	£m	(profit -ve)	-	-	-	-	-	-	-	-	-	-
PDC dividend expense	£m	(+ve)	9.947	2.559	2.559	2.560	2.648	2.560	2.560	10.239	5.119	5.207
Other increases/(decreases) to reconcil	£m	(+/-ve)	0.207	0.547	0.205	(0.263)	(0.206)	0.202	(0.297)	0.189	0.284	(0.001)
NEW Non-cash flows in operating surplus/(deficit), Total			21.921	6.735	6.047	5.928	5.575	6.307	5.894	24.864	12.663	11.622
Operating Cash flows before movements in working capital			34.964	8.032	5.945	6.917	6.513	7.548	11.376	33.872	14.949	12.458
Increase/(Decrease) in working capital												
(Increase)/decrease in inventories	£m	(increase -ve)	(0.133)	0.461	0.614	0.058	0.244	(0.811)	0.440	0.148	0.519	0.858
(Increase)/decrease in tax receivable	£m	(increase -ve)	-	-	-	-	-	-	-	-	-	-
(Increase)/decrease in NHS Trade Rect	£m	(increase -ve)	(0.097)	(6.541)	(12.650)	0.594	(11.070)	(1.519)	6.763	(0.703)	(5.947)	(23.720)
(Increase)/decrease in Non NHS Trade	£m	(increase -ve)	0.267	0.704	1.077	0.060	(0.747)	0.067	(0.831)	-	0.764	0.330
(Increase)/decrease in other related par	£m	(increase -ve)	(0.656)	0.765	0.495	-	(0.004)	-	-	0.765	0.765	0.491
(Increase)/decrease in other receivable:	£m	(increase -ve)	0.024	0.115	0.207	(0.720)	(0.351)	(0.340)	0.848	(0.097)	(0.605)	(0.144)
(Increase)/decrease in accrued income	£m	(increase -ve)	(0.413)	0.211	(0.252)	(0.022)	0.381	(0.023)	(0.023)	0.144	0.189	0.129
(Increase)/decrease in other financial	£m	(increase -ve)	-	-	-	-	-	-	-	-	-	-
(Increase)/decrease in prepayments	£m	(increase -ve)	0.006	(3.079)	(0.793)	(0.753)	(3.285)	0.058	3.773	(0.001)	(3.832)	(4.078)
(Increase)/decrease in Other assets (n	£m	(increase -ve)	-	-	-	-	-	-	-	-	-	-
(Increase)/decrease in Other assets (c	£m	(increase -ve)	-	-	-	-	-	-	-	-	-	-
Increase/(decrease) in Deferred Incom	£m	(increase +ve)	(3.458)	2.568	0.076	0.970	0.720	(0.030)	(3.030)	0.478	3.538	0.796
Increase/(decrease) in Deferred Incom	£m	(increase +ve)	(1.478)	-	-	-	2.249	-	(0.172)	(0.172)	-	2.249
Increase/(decrease) in Current provisoi	£m	(increase +ve)	(3.621)	(0.199)	(0.411)	(0.200)	(0.011)	-	-	(0.399)	(0.399)	(0.422)
Increase/(decrease) in post-employem	£m	(increase +ve)	-	-	-	-	-	-	-	-	-	-
Increase/(decrease) in tax payable	£m	(increase +ve)	-	-	-	-	-	-	-	-	-	-
Increase/(decrease) in Trade Creditors	£m	(increase +ve)	(0.164)	0.672	0.512	(0.001)	2.377	0.061	0.324	1.055	0.670	2.889
Increase/(decrease) in Other Creditors	£m	(increase +ve)	0.118	(1.707)	(1.266)	0.038	1.574	(0.122)	0.038	(1.754)	(1.670)	0.308
Increase/(decrease) in accruals	£m	(increase +ve)	(0.008)	0.532	(0.410)	0.023	(0.075)	0.023	0.023	0.599	0.554	(0.485)
Increase/(decrease) in other Financial	£m	(increase +ve)	0.036	(0.233)	(0.209)	(0.018)	0.195	0.005	0.005	(0.241)	(0.251)	(0.014)
Increase/(decrease) in Other liabilities	£m	(increase +ve)	1.138	-	0.561	-	(0.100)	-	-	-	-	0.461
Increase/(decrease) in Other liabilities	£m	(increase +ve)	-	-	-	-	-	-	-	-	-	-
Historic Increase/(Decrease) in working capital, *	£m		(8.439)	(5.732)	(12.449)	0.028	(7.903)	(2.631)	8.158	(0.177)	(5.704)	(20.352)
Increase/(decrease) in Non-current provisions	£m		-	(0.011)	(0.011)	(0.011)	(0.011)	(0.011)	(0.011)	(0.044)	(0.022)	-
Net cash inflow/(outflow) from operating ac	£m		26.525	2.289	(6.504)	6.933	(1.390)	4.906	19.523	33.651	9.223	(7.894)
Net cash inflow/(outflow) from investing activities												
Property - new land, buildings or dwellin	£m	(-ve)	-	-	(0.002)	(0.025)	-	(14.000)	(3.575)	(17.600)	(0.025)	(0.002)
Property - maintenance expenditure	£m	(-ve)	(2.669)	(0.246)	(0.757)	(0.241)	(0.696)	(0.235)	(0.475)	(1.198)	(0.487)	(1.453)
Plant and equipment - Information Tech	£m	(-ve)	(2.822)	(0.172)	(0.356)	(0.572)	(0.613)	(0.893)	(3.927)	(5.565)	(0.744)	(0.969)
Plant and equipment - Other	£m	(-ve)	(3.254)	(2.012)	(1.736)	(1.228)	(0.634)	(1.117)	(2.116)	(6.473)	(3.240)	(2.370)
Property, plant and equipment - other e	£m	(-ve)	(9.013)	(0.741)	(0.538)	(1.880)	(1.604)	(3.342)	(9.710)	(15.674)	(2.621)	(2.142)
Proceeds on disposal of property, plant	£m	(+ve)	-	-	-	-	-	-	-	-	-	-
Purchase of investment property	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
Proceeds on disposal of investment pro	£m	(+ve)	-	-	-	-	-	-	-	-	-	-
Purchase of intangible assets	£m	(-ve)	(0.875)	(0.571)	(0.473)	(0.290)	(0.214)	(0.291)	(2.222)	(3.374)	(0.861)	(0.687)
Proceeds on disposal of intangible asse	£m	(+ve)	-	-	-	-	-	-	-	-	-	-
Expenditure on capitalised developmen	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
Increase/(decrease) in Capital Creditors	£m	(-ve)	1.873	0.572	(1.845)	0.400	0.295	0.300	0.387	1.659	0.972	(1.550)
Payments for other capitalised costs	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
Purchase of subsidiaries net of cash ac	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
Net bank balance acquired with subsidi	£m	(+ve)	-	-	-	-	-	-	-	-	-	-
Proceeds from disposal of subsidiaries	£m	(+ve)	-	-	-	-	-	-	-	-	-	-
Net bank balance disposed with subsidi	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
Purchase of associates net of cash acq	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
Net bank balance acquired with associa	£m	(+ve)	-	-	-	-	-	-	-	-	-	-
Proceeds from disposal of associates n	£m	(+ve)	-	-	-	-	-	-	-	-	-	-
Net bank balance disposed with associa	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
Purchase of joint ventures net of cash a	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
Net bank balance acquired with associa	£m	(+ve)	-	-	-	-	-	-	-	-	-	-
Proceeds from disposal of joint venture:	£m	(+ve)	-	-	-	-	-	-	-	-	-	-
Net bank balance disposed with joint ve	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
Government grants received	£m	(+ve)	-	-	-	-	-	-	-	-	-	-
Purchase of investments & deposits ma	£m	(-ve)	(43.500)	-	-	-	-	-	-	-	-	-
Proceeds on disposal of investments &	£m	(+ve)	43.500	-	-	-	-	-	-	-	-	-
Other cash flows from investing activitie	£m	(+/-ve)	-	-	-	-	-	-	-	-	-	-
Net cash inflow/(outflow) from investin	£m		(16.760)	(3.170)	(5.707)	(3.837)	(3.466)	(19.579)	(21.638)	(48.223)	(7.006)	(9.173)
Net cash inflow/(outflow) before financing	£m		9.765	(0.880)	(12.211)	3.097	(4.856)	(14.673)	(2.116)	(14.572)	2.216	(17.067)
Net cash inflow/(outflow) from financing activities												
Public Dividend Capital received	£m	(+ve)	-	-	-	-	-	0.274	-	0.274	-	-
Public Dividend Capital repaid	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
PDC Dividends paid	£m	(-ve)	(9.592)	-	-	(5.021)	(5.108)	-	(5.120)	(10.141)	(5.021)	(5.108)
Interest (paid) on bridging loans	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
Interest (paid) on commercial loans	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
Interest (paid) on non-commercial loans	£m	(-ve)	(0.794)	(0.415)	(0.415)	-	-	(0.387)	-	(0.802)	(0.415)	(0.415)
AMENDED Interest (paid) on overdraft and working	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
Interest element of finance lease rental	£m	(-ve)	(0.086)	(0.016)	(0.020)	-	(0.043)	-	-	(0.016)	(0.016)	(0.063)
Interest element of finance lease rental	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
Capital element of finance lease rental	£m	(-ve)	(0.214)	(0.018)	(0.016)	(0.137)	(0.135)	(0.018)	(0.048)	(0.220)	(0.155)	(0.151)
Capital element of finance lease rental	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
Interest received on cash and cash equ	£m	(+ve)	0.156	0.025	0.026	0.025	0.021	0.025	0.025	0.100	0.050	0.047
Movement in Other grants/Capital recei	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
Donations received in cash	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
Drawdown of bridging loans	£m	(+ve)	-	-	-	-	-	-	-	-	-	-
Repayment of bridging loans	£m	(-ve)	-	-	-	-	-	-	-	-	-	-
Drawdown of non-commercial loans	£m	(+ve)	3.200	-	-	-	-	14.600	9.000	23.600	-	-
Repayment of non-commercial loans	£m	(-ve)	(1.813)	(1.813)	(1.813)	-	-	(1.813)	-	(3.625)	(1.813)	(1.813)
Drawdown of commercial loans	£m	(+ve)	-	-	-	-	-	-	-	-	-	-
Repayment of commercial loans	£m	(-ve)	-	-	-	-	-	-	-	-	-	-

		Audited for Year ending 31-Mar-13	Plan for Quarter ending 30-Jun-13	Actual for Quarter ending 30-Jun-13	Plan for Quarter ending 30-Sep-13	Actual for Quarter ending 30-Sep-13	Plan for Quarter ending 31-Dec-13	Plan for Quarter ending 31-Mar-14	Plan for Year ending 31-Mar-14	Plan for YTD ending 30-Sep-13	Actual for YTD ending 30-Sep-13
Net increase/(decrease) in cash	£m	0.622	(3.116)	(14.449)	(2.036)	(10.121)	(2.265)	2.016	(5.402)	(5.153)	(24.570)
Opening cash	£m	40.996	41.618	41.618	38.502	27.169	36.465	34.200	41.618	41.618	41.618
Effect of exchange rates	£m (+/-ve)	-							-	-	-
Closing cash	£m	41.618	38.502	27.169	36.465	17.048	34.200	36.216	36.216	36.465	17.048
NEW	<i>Closing cash from SoFP Variance</i>	41.618 0.000	38.502 0.000	27.167 0.002	36.465 0.000	17.049 (0.001)	34.200 0.000	36.216 0.000	36.216 0.000	36.465 0.000	17.049 (0.001)

Worksheet "SoFP"

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Quarterly planned and actual statement of financial position for CHELSEA

This layout is identical to your Annual Plan

	units	sense	Audited at 31-Mar-13	Plan at 30-Jun-13	Actual at 30-Jun-13	Plan at 30-Sep-13	Actual at 30-Sep-13	Plan at 31-Dec-13	Plan at 31-Mar-14	Plan at 31-Mar-14	Plan at 30-Sep-13	Actual at 30-Sep-13	Variance at 30-Sep-13
Assets													
Assets, Non-Current													
Intangible Assets, Net, Donated or granted	£m	(+ve)	-	-	0.029	-	0.027	-	-	-	-	0.027	0.027
Intangible Assets, Net, Purchased or created	£m	(+ve)	6.245	6.245	6.185	6.245	6.880	6.245	6.245	6.245	6.245	6.880	0.635
Intangible Assets, Net, Total	£m	(+ve)	6.245	6.245	6.214	6.245	6.907	6.245	6.245	6.245	6.245	6.907	0.662
Property, Plant and Equipment, Net, Donated or granted	£m	(+ve)	6.610	6.610	7.654	6.610	7.573	6.610	6.610	6.610	6.610	7.573	0.963
Property, Plant and Equipment, Net, Purchased or constructed	£m	(+ve)	333.551	334.019	333.264	334.971	333.172	351.648	370.526	370.526	334.971	333.172	(1.799)
Property, Plant and Equipment, Net, Total	£m	(+ve)	340.161	340.629	340.918	341.581	340.745	358.258	377.136	377.136	341.581	340.745	(0.836)
On balance sheet PFI assets, Non-Current													
PFI: Property, Plant and Equipment, Net	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
PFI Other Assets	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
On balance sheet PFI assets, Non-Current, Total	£m		0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Investment Property	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Investments, Non-Current													
Investments in Subsidiaries, at Cost	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Investments in Associates, at Cost	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Investments in Joint Ventures, at Cost	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Other Investments, at Cost	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Investments, Non-Current, Total	£m		0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Deferred Tax Assets	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Trade and Other Receivables, Non-Current													
NHS Trade Receivables, Non-Current, Gross	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Non NHS Trade Receivables, Non-Current, Gross	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Other related party receivables	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Other Receivables, Non-Current	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Impairment of Receivables for Bad & doubtful debts, Non-Current	£m	(-ve)	-	-	-	-	-	-	-	-	-	-	-
Trade and Other Receivables, Net, Non-Current, Total	£m		0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Prepayments, Non-Current													
Prepayments, Non-current, PFI related (eg lifecycle assets)	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Prepayments, Non-current, non-PFI related	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Prepayments, Non-Current, Total	£m		0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Other Financial Assets, Non-Current													
Derivatives and embedded derivatives	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Other Financial Assets, Non-Current	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Other Financial Assets, Non-Current, Total	£m		0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Off balance sheet PFI assets, Non-Current													
PFI Residual interest	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
PFI Deferred Assets	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Off balance sheet PFI assets, Non-Current, Total	£m		0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Other Assets, Non-Current													
Historic Non-Current Assets held by charitable funds	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Other Assets, Non-Current	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Other Assets, Non-Current, Total	£m		0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Assets, Non-Current, Total	£m		346.406	346.874	347.132	347.826	347.652	364.503	383.381	383.381	347.826	347.652	(0.174)
Assets, Current													
Inventories	£m	(+ve)	6.473	6.012	5.859	5.954	5.615	6.765	6.325	6.325	5.954	5.615	(0.339)
Current Tax Receivables	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Trade and Other Receivables, Current													
NHS Trade Receivables, Current, Gross	£m	(+ve)	7.528	14.069	20.178	13.475	31.248	14.994	8.231	8.231	13.475	31.248	17.773
Non NHS Trade Receivables, Current, Gross	£m	(+ve)	3.685	2.981	2.608	2.921	3.355	2.854	3.685	3.685	2.921	3.355	0.434
Other related party receivables, Gross	£m	(+ve)	1.265	0.500	0.770	0.500	0.774	0.500	0.500	0.500	0.500	0.774	0.274
Other Receivables, Current, Gross	£m	(+ve)	2.803	2.688	2.596	3.408	2.947	3.748	2.900	2.900	3.408	2.947	(0.461)
Impairment of Receivables, Current (for bad & doubtful debts)	£m	(-ve)	(3.919)	(4.094)	(3.854)	(4.269)	(3.538)	(4.444)	(4.619)	(4.619)	(4.269)	(3.538)	0.730
Trade and Other Receivables, Net, Current, Total	£m		11.363	16.144	22.298	16.036	34.785	17.652	10.698	10.698	16.036	34.785	18.750
Other Financial Assets, Current													
Accrued Income	£m	(+ve)	1.248	1.037	1.500	1.059	1.119	1.082	1.104	1.104	1.059	1.119	0.060
Derivatives and embedded derivatives assets, current	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Available for Sale financial assets	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
PDC dividend overpayment receivable	£m	(+ve)	0.099	0.099	0.099	-	-	-	-	-	-	-	-
Deposits and Investments (illiquid or non-'safe harbour')	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Other Financial Assets, Current	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Other Financial Assets, Current, Total	£m		1.347	1.136	1.599	1.059	1.119	1.082	1.104	1.104	1.059	1.119	0.060
Prepayments, Current													
Prepayments, Current, PFI related not lifecycle assets	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Prepayments, Current, PFI related for Lifecycle assets (only)	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Prepayments, Current, non-PFI related	£m	(+ve)	1.489	4.568	2.282	5.321	5.567	5.263	1.490	1.490	5.321	5.567	0.246
Prepayments, Current, Total	£m		1.489	4.568	2.282	5.321	5.567	5.263	1.490	1.490	5.321	5.567	0.246
Cash and Cash Equivalents													
Cash with Government Banking Service	£m	(+ve)	41.610	38.502	27.167	36.465	16.979	34.200	36.216	36.216	36.465	16.979	(19.486)
Cash with commercial banks and in hand	£m	(+ve)	0.008	-	-	-	0.070	-	-	-	-	0.070	0.070
Deposits and Investments (liquid and 'safe harbour')	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Cash and Cash Equivalents, Total	£m		41.618	38.502	27.167	36.465	17.049	34.200	36.216	36.216	36.465	17.049	(19.416)
Other Assets, Current													
Historic Current Assets held by charitable funds	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Non-Current Assets held for sale	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Other Assets, Current	£m	(+ve)	-	-	-	-	-	-	-	-	-	-	-
Other Assets, Current, Total	£m		0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Assets, Current, Total	£m		62.290	66.362	59.205	64.835	64.135	64.963	55.833	55.833	64.835	64.135	(0.700)
ASSETS, TOTAL	£m		408.696	413.236	406.337	412.661	411.787	429.466	439.214	439.214	412.661	411.787	(0.874)
Liabilities													
Liabilities, Current													
Interest-Bearing Borrowings, Current													
Bank Overdraft	£m	(-ve)	-	-	-	-	-	-	-	-	-	-	-
Drawdown in Committed Facility	£m	(-ve)	-	-	-	-	-	-	-	-	-	-	-
Bridging loans, Current	£m	(-ve)	-	-	-	-	-	-	-	-	-	-	-
Loans, non-commercial, Current (DH, FTFF, NLF, etc)	£m	(-ve)	(3.625)	(3.625)	(3.625)	(3.625)	(3.625)	(4.876)	(5.276)	(5.276)	(3.625)	(3.625)	-
Loans, commercial, Current	£m	(-ve)	-	-	-	-	-	-	-	-	-	-	-
Interest-Bearing Borrowings, Current, Total	£m		(3.625)	(3.625)	(3.625)	(3.625)	(3.625)	(4.876)	(5.276)	(5.276)	(3.625)	(3.625)	0.000
Non-Interest-Bearing Borrowings, Current	£m	(-ve)	-	-	-	-	-	-	-	-	-	-	-
Deferred Income, Current	£m	(-ve)	(2.100)	(4.668)	(2.176)	(5.638)	(2.896)	(5.608)	(2.578)	(2.578)	(5.638)	(2.896)	2.742
Deferred Grant Income, Current	£m	(-ve)	(0.102)	(0.102)	(0.102)	(0.102)	(2.351)	(0.102)	-	-	(0.102)	(2.351)	(2.249)
Provisions, Current	£m	(-ve)	(2.620)	(2.421)	(2.209)	(2.221)	(2.187)	(2.221)	(2.221)	(2.221)	(2.221)	(2.187)	0.034
Post-Employment Benefit Obligation, Current	£m	(-ve)	-	-	-	-	-	-	-	-	-	-	-
Current Tax Payables	£m	(-ve)	-	-	-	-	-						

Worksheet "SoFP"

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Quarterly planned and actual statement of financial position for CHELSEA

This layout is identical to your Annual Plan

	units	sense	Audited at 31-Mar-13	Plan at 30-Jun-13	Actual at 30-Jun-13	Plan at 30-Sep-13	Actual at 30-Sep-13	Plan at 31-Dec-13	Plan at 31-Mar-14	Plan at 31-Mar-14	Plan at 30-Sep-13	Actual at 30-Sep-13	Variance at 30-Sep-13
Other Financial Liabilities, Current, Total	£m		(12.356)	(15.239)	(14.304)	(12.686)	(11.730)	(15.280)	(12.754)	(12.754)	(12.686)	(11.730)	0.956
Other Liabilities, Current													
Donation income deferred to future periods	£m	(-ve)	-										
Liabilities in disposal groups classified as held for sale	£m	(-ve)	-										
Historic Liabilities held by Charitable Funds, Current	£m	(-ve)	-										
Other Accrued Liabilities, Current	£m	(-ve)	-										
Other Liabilities, Current	£m	(-ve)	(4.205)	(4.365)	(4.766)	(4.368)	(4.666)	(4.371)	(4.374)	(4.374)	(4.368)	(4.666)	(0.298)
Other Liabilities, Current, Total	£m		(4.205)	(4.365)	(4.766)	(4.368)	(4.666)	(4.371)	(4.374)	(4.374)	(4.368)	(4.666)	(0.298)
Liabilities, Current, Total	£m		(43.341)	(48.291)	(42.917)	(46.894)	(47.436)	(50.953)	(46.445)	(46.445)	(46.894)	(47.436)	(0.542)
NET CURRENT ASSETS (LIABILITIES)	£m		18.949	18.071	16.288	17.941	16.699	14.010	9.387	9.387	17.941	16.699	(1.241)
Liabilities, Non-Current													
Interest-Bearing Borrowings, Non-Current													
Loans, Non-Current, non-commercial (DH, FTFF, NLF, etc)	£m	(-ve)	(23.563)	(21.750)	(21.750)	(21.750)	(21.750)	(33.286)	(41.886)	(41.886)	(21.750)	(21.750)	-
Loans, Non-Current, commercial	£m	(-ve)	-										
Interest-Bearing Borrowings, Non-Current, Total	£m		(23.563)	(21.750)	(21.750)	(21.750)	(21.750)	(33.286)	(41.886)	(41.886)	(21.750)	(21.750)	0.000
Non-Interest-Bearing Borrowings, Non-Current	£m	(-ve)	-										
Deferred Income, Non-Current	£m	(-ve)	-										
Deferred Grant Income, Non-Current	£m	(-ve)	(0.070)	(0.070)	(0.070)	(0.070)	(0.070)	(0.070)			(0.070)	(0.070)	-
Provisions, Non-Current	£m	(-ve)	(0.710)	(0.699)	(0.710)	(0.688)	(0.721)	(0.677)	(0.666)	(0.666)	(0.688)	(0.721)	(0.033)
Post-Employment Benefit Obligation, Non-Current	£m	(-ve)	-										
Deferred Tax liabilities	£m	(-ve)	-										
Trade and Other Payables, Non-Current													
Trade Creditors, Non-Current	£m	(-ve)	-										
Other Creditors, Non-Current	£m	(-ve)	-										
Trade and Other Payables, Non-Current, Total	£m		0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Other Financial Liabilities, Non-Current													
Finance Leases, Non-current	£m	(-ve)	(1.895)	(2.012)	(1.876)	(1.856)	(1.856)	(1.837)	(1.817)	(1.817)	(1.856)	(1.856)	-
PFI leases, Non-Current	£m	(-ve)	-										
Derivatives and embedded derivatives liabilities, non-current	£m	(-ve)	-										
Other Financial Liabilities, Non-Current	£m	(-ve)	-										
Other Financial Liabilities, Non-Current, Total	£m		(1.895)	(2.012)	(1.876)	(1.856)	(1.856)	(1.837)	(1.817)	(1.817)	(1.856)	(1.856)	0.000
Other Liabilities, Non-Current													
Donated Assets deferred Income, Non-Current	£m	(-ve)	-										
Historic Liabilities held by Charitable Funds, Non-Current	£m	(-ve)	-										
Other Liabilities, Non-Current	£m	(-ve)	-										
Other Liabilities, Non-Current, Total	£m		0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Liabilities, Non-Current, Total	£m		(26.238)	(24.531)	(24.406)	(24.364)	(24.397)	(35.870)	(44.369)	(44.369)	(24.364)	(24.397)	(0.033)
TOTAL ASSETS EMPLOYED	£m		339.117	340.414	339.014	341.403	339.954	342.643	348.399	348.399	341.403	339.954	(1.448)
Taxpayers' and Others' Equity													
Non Controlling interest (was Minority Interest)	£m	(+ve)	-										
Taxpayers Equity													
Public dividend capital	£m	(+ve)	162.549	162.549	162.549	162.549	162.549	162.549	162.823	162.823	162.549	162.549	-
Retained Earnings / (Accumulated Losses)	£m	(+/-ve)	87.381	88.678	87.279	89.667	88.217	90.907	96.389	96.389	89.667	88.217	(1.450)
Historic Charitable Funds	£m	(+ve)	-										
Other Reserves													
Pensions reserve	£m	(+ve)	-										
Revaluation reserve	£m	(+ve)	89.187	89.187	89.187	89.187	89.188	89.187	89.187	89.187	89.187	89.188	0.001
Available for sale reserve	£m	(+ve)	-										
Merger reserve	£m	(+ve)	-										
Miscellaneous other reserves	£m	(+ve)	-										
Other Reserves, Total	£m		89.187	89.187	89.187	89.187	89.188	89.187	89.187	89.187	89.187	89.188	0.001
TAXPAYERS EQUITY, TOTAL	£m		339.117	340.414	339.015	341.403	339.954	342.643	348.399	348.399	341.403	339.954	(1.449)
TOTAL ASSETS EMPLOYED	£m		339.117	340.414	339.015	341.403	339.954	342.643	348.399	348.399	341.403	339.954	(1.449)
		Check	TRUE	TRUE	TRUE	TRUE	TRUE	TRUE	TRUE	TRUE	TRUE	TRUE	TRUE
Memorandum lines													
Working Capital Facility													
Committed working capital facility in place	£m	(+ve)	20.000	20.000	20.000	20.000	20.000	20.000	20.000	20.000	20.000	20.000	-
Figures for PBL calculation													
Long term borrowings	£m	(+ve)		27.582	27.461	27.427	27.306	40.202	49.188	49.188	27.427	27.306	(0.121)
Figures for Net return after Financing calculation													
Taxpayers' equity			339.117	340.414	339.015	341.403	339.954	342.643	348.399	348.399	341.403	339.954	(1.449)
Leases			2.097	2.207	2.086	2.052	1.931	2.040	2.026	2.026	2.052	1.931	(0.121)
Borrowings			27.188	25.375	25.375	25.375	25.375	38.162	47.162	47.162	25.375	25.375	0.000
Financing (for FRR calculation)			368.402	367.996	366.476	368.830	367.260	382.845	397.587	397.587	368.830	367.260	(1.570)
Figures for Liquidity calculation													
Cash for liquidity purposes (for FRR calculation)			32.476	32.059	30.429	31.987	31.084	27.245	23.062	23.062	31.987	31.084	(0.902)

Worksheet "Checks"

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High level checks of financial information entered by Chelsea and Westminster

Note if your return fails checks 1,2 or 3 it will be rejected

		Checks Quarter ending 30-Jun-13	Checks Quarter ending 30-Sep-13
1 Statement of Financial position balances	from SoFP	OK	OK
2 Cash in SoCF agrees to cash in SoFP	From CF	OK	OK
3 Cash and Cash Equivalents agree between SoFP and SoCF	From CF	OK	OK
4 Retained Surplus(Deficit) check			
Opening I&E reserve balance	from SoFP	£m 87.381	£m 87.279
Movement in Retained Surplus in period	from SoCI	£m -0.102	£m 0.938
I&E Movement in period	from SoCI	£m 0.000	£m 0.000
Share of comprehensive income from associates and joint ventures	from SoCI	£m 0.000	£m 0.000
Adjustment for Gain/loss on transfers	NEW from SoCI	£m 0.000	£m 0.000
Calculated closing I&E reserve balance	£m	£m 87.279	£m 88.217
Closing I&E reserve balance	from SoFP	£m 87.279	£m 88.217
	Check	OK	OK
5 Revaluation Reserve Check			
Opening Revaluation reserve balance	from SoFP	£m 89.187	£m 89.187
Movement in period	from SoCI	£m 0.000	£m 0.000
Adjustment for Gain/loss on transfers	NEW Input	£m -	£m -
Calculated closing Revaluation reserve balance	£m	£m 89.187	£m 89.187
Closing Revaluation reserve balance	from SoFP	£m 89.187	£m 89.188
	Check	OK	OK
6 Available for Sale Reserve Check			
Opening Available for sale reserve balance	from SoFP	£m 0.000	£m 0.000
Movement in period	from SoCI	£m 0.000	£m 0.000
Calculated Closing Available for sale reserve balance	£m	£m 0.000	£m 0.000
Closing Available for sale reserve balance	from SoFP	£m 0.000	£m 0.000
	Check	OK	OK
7 Merger Reserve Check			
Opening Merger reserve balance	from SoFP	£m 0.000	£m 0.000
Movement in period	from SoCI	£m 0.000	£m 0.000
Calculated Closing Merger reserve balance	£m	£m 0.000	£m 0.000
Closing Merger reserve balance	from SoFP	£m 0.000	£m 0.000
	Check	OK	OK
8 Pensions Reserve Check			
Opening Pensions reserve balance	from SoFP	£m 0.000	£m 0.000
Movement in period	from SoCI	£m 0.000	£m 0.000
Calculated Closing Pensions reserve balance	£m	£m 0.000	£m 0.000
Closing Pensions reserve balance	from SoFP	£m 0.000	£m 0.000
	Check	OK	OK
9 Other Reserves Check			
Opening Other Reserves balance	from SoFP	£m 0.000	£m 0.000
Movement in period	from SoCI	£m 0.000	£m 0.000
Calculated Closing Other Reserves balance	£m	£m 0.000	£m 0.000
Closing Other Reserves balance	from SoFP	£m 0.000	£m 0.000
	Check	OK	OK
10 PDC balance check			
Opening PDC balance	from SoFP	£m 162.549	£m 162.549
Movement in PDC in period	from CF	£m 0.000	£m 0.000
PDC drawn down	from CF	£m 0.000	£m 0.000
PDC repaid	from CF	£m 0.000	£m 0.000
Calculated closing PDC balance	£m	£m 162.549	£m 162.549
Closing PDC reserve balance	from SoFP	£m 162.549	£m 162.549
	Check	OK	OK
11 Interest Bearing Borrowings check			
Interest-Bearing Borrowings	from SoFP	£m 27.188	£m 25.375
Movement in Borrowings in period	from CF	£m 0.000	£m 0.000
Borrowed	from CF	£m 0.000	£m 0.000
Repaid	from CF	£m -1.813	£m 0.000
Calculated closing Interest-Bearing Borrowings	£m	£m 25.375	£m 25.375
Closing Interest-Bearing Borrowings	from SoFP	£m 25.375	£m 25.375
	Check	OK	OK
12 Deposits and investments check			
Deposits and investments	from SoFP	£m 0.000	£m 0.000
Movement in period in deposits and investments	from CF	£m 0.000	£m 0.000
Purchased	from CF	£m 0.000	£m 0.000
Disposed	from CF	£m 0.000	£m 0.000
Calculated closing deposits and investments	£m	£m 0.000	£m 0.000
Closing Deposits and investments	from SoFP	£m 0.000	£m 0.000
	Check	OK	OK
13 Non-Current receivables check			
Non-current receivables	from SoFP	£m 0.000	£m 0.000
Movement in period in non-current receivables	from CF	£m 0.000	£m 0.000
Calculated closing non-current receivables	£m	£m 0.000	£m 0.000
Closing non-current receivables	from SoFP	£m 0.000	£m 0.000
	Check	OK	OK
14 Non-Current payables check			
Non-current payables	from SoFP	£m 0.000	£m 0.000
Movement in period in non-current payables	from CF	£m 0.000	£m 0.000
Calculated closing non-current payables	£m	£m 0.000	£m 0.000
Closing non-current payables from SP	from SoFP	£m 0.000	£m 0.000
	Check	OK	OK
15 Asset Movements check			
Opening PPE & intangibles balance	from SoFP	£m 346.406	£m 347.132
Movement in PPE & intangibles in period	from CF	£m 3.862	£m 3.761
Purchases	from CF	£m 0.000	£m 0.000
Disposal proceeds	from CF	£m 0.000	£m 0.008
less profit/loss on disposal	From SoCI	£m 0.000	£m 0.000
Donations of PPE & intangible assets received	From SoCI	£m 0.000	£m 0.000
Adjustments (if you enter a value you MUST explain in column AQ)	Input	£m -	£m -
Revaluations	From SoCI	£m 0.000	£m 0.000
Depreciation	From SoCI	£m -3.138	£m -3.242
Impairments	From SoCI	£m 0.000	£m 0.000
Calculated closing PPE & intangibles balance	£m	£m 347.130	£m 347.659
Closing PPE & intangibles balance	from SoFP	£m 347.132	£m 347.652
	Check	OK	OK
16 Working capital Movements check			
(Increase)/decrease in inventories	from CF	£m 0.614	£m 0.244
values in CF and movement in SP must agree	from SoFP	£m 0.614	£m 0.244
	Check	OK	OK
17 Working capital Movements check			
(Increase)/decrease in tax receivable	from CF	£m 0.000	£m 0.000
values in CF and movement in SP must agree	from SoFP	£m 0.000	£m 0.000
	Check	OK	OK
18 Working capital Movements check			
(Increase)/decrease in NHS Trade Receivables	from CF	£m -12.650	£m -11.070
values in CF and movement in SP must agree	from SoFP	£m -12.650	£m -11.070
	Check	OK	OK
19 Working capital Movements check			
(Increase)/decrease in Non NHS Trade Receivables	from CF	£m 1.077	£m -0.747
values in CF and movement in SP must agree	from SoFP	£m 1.077	£m -0.747
	Check	OK	OK
20 Working capital Movements check			
(Increase)/decrease in other related party receivables	from CF	£m 0.495	£m -0.004
values in CF and movement in SP must agree	from SoFP	£m 0.495	£m -0.004
	Check	OK	OK
21 Working capital Movements check			
(Increase)/decrease in other receivables	from CF	£m 0.207	£m -0.351
values in CF and movement in SP must agree	from SoFP	£m 0.207	£m -0.351
	Check	OK	OK
22 Working capital Movements check			
(Increase)/decrease in Accrued income & Other fin. assets	from CF	£m -0.252	£m 0.381
values in CF and movement in SP must agree	from SoFP	£m -0.252	£m 0.381
	Check	OK	OK
23 Working capital Movements check			
(Increase)/decrease in Prepayments	from CF	£m -0.793	£m -3.285
values in CF and movement in SP must agree	from SoFP	£m -0.793	£m -3.285
	Check	OK	OK
24 Working capital Movements check			
(Increase)/decrease in Other assets	from CF	£m 0.000	£m 0.000
values in CF and movement in SP must agree	from SoFP	£m 0.000	£m 0.000
	Check	OK	OK
25 Working capital Movements check			
Increase/(decrease) in Deferred Income (excl. Govt Grants)	from CF	£m 0.076	£m 0.720
values in CF and movement in SP must agree	from SoFP	£m 0.076	£m 0.720
	Check	OK	OK
26 Working capital Movements check			
Increase/(decrease) in Deferred Income (Govt Grants)	from CF	£m 0.000	£m 2.249
values in CF and movement in SP must agree	from SoFP	£m 0.000	£m 2.249
	Check	OK	OK
27 Working capital Movements check			
Increase/(decrease) in provisions	from CF	£m -0.411	£m -0.011
values in CF and movement in SP must agree	from SoFP	£m -0.411	£m -0.011
	Check	OK	OK
28 Working capital Movements check			
Increase/(decrease) in post-employment benefit obligations	from CF	£m 0.000	£m 0.000
values in CF and movement in SP must agree	from SoFP	£m 0.000	£m 0.000
	Check	OK	OK
29 Working capital Movements check			
Increase/(decrease) in tax payable	from CF	£m 0.000	£m 0.000
values in CF and movement in SP must agree	from SoFP	£m 0.000	£m 0.000
	Check	OK	OK
30 Working capital Movements check			
Increase/(decrease) in Trade Creditors	from CF	£m 0.512	£m 2.377
values in CF and movement in SP must agree	from SoFP	£m 0.512	£m 2.377
	Check	OK	OK
31 Working capital Movements check			
Increase/(decrease) in Other Creditors	from CF	£m -1.268	£m 1.574
values in CF and movement in SP must agree	from SoFP	£m -1.268	£m 1.574
	Check	OK	OK
32 PDC creditor accrual / payments check			
FTs must accrue for PDC dividends and pay them biannually	from SoFP	£m -2.569	£m 0.000
	Check	OK	OK
33 Working capital Movements check			
Increase/(decrease) in accruals	from CF	£m -0.410	£m -0.075
values in CF and movement in SP must agree	from SoFP	£m -0.410	£m -0.075
	Check	OK	OK
34 Working capital Movements check			
Increase/(decrease) in Other Financial liabilities	from CF	£m -0.209	£m 0.195
values in CF and movement in SP must agree	from SoFP	£m -0.209	£m 0.195
	Check	OK	OK
35 Working capital Movements check			
Increase/(decrease) in Other liabilities (non charitable assets)	from CF	£m 0.561	£m -0.100
values in CF and movement in SP must agree	from SoFP	£m 0.562	£m -0.100
	Check	OK	OK
36 Working capital Movements check (Historic)			
Increase/(decrease) in Other liabilities (charitable assets only)	from CF	£m 0.000	£m 0.000
values in CF and movement in SP must agree	from SoFP	£m 0.000	£m 0.000
	Check	OK	OK
37 Impairments of receivables			
(Increase)/decrease in Impairment of receivables, Current and Non-Current, net	from SoCI	£m 0.065	£m 0.315
Charge to SoCI and movement in SP must agree	from SoFP	£m 0.065	£m 0.315
	Check	OK	OK

Other (include all Variances under £0.1M on this line)		
Explained value	0.000	0.000
Actual value (check)	0.000	0.000
Of Which:		
Subtotal of above variances due to Price		
Subtotal of above variances due to Volume		
Validation	TRUE	TRUE

NEW
NEW
NEW
NEW

Mental Health Block Contract		
Original Planned value	-	-
In Period Variances (brief explanation)		
Other (include all Variances under £0.1M on this line)		
Explained value	0.000	0.000
Actual value (check)	0.000	0.000
Validation	TRUE	TRUE

NEW
NEW
NEW
NEW

Mental Health Other Clinical Revenue		
Original Planned value	-	-
In Period Variances (brief explanation)		
Other (include all Variances under £0.1M on this line)		
Explained value	0.000	0.000
Actual value (check)	0.000	0.000
Of Which:		
Subtotal of above variances due to Price		
Subtotal of above variances due to Volume		
Validation	TRUE	TRUE

NEW
NEW
NEW
NEW

Acute Elective Inpatient Revenue		
Original Planned value	5.035	5.175
In Period Variances (brief explanation)		
Trauma & Orthopaedics - decrease in elective spells	Adv (0.129)	
Decrease in regular day admissions particularly HIV and dermatology	Adv (0.136)	
Paediatric gastroenterology - discharge of several longer stay elective patients		Fav 0.169
Bariatric surgery - significant decrease in elective spells		Adv (0.175)
Dermatology - decrease in regular day admissions		Adv (0.184)
Paediatric surgery - decrease in elective spells		Adv (0.128)
Trauma & Orthopaedics - elective spells below plan but also decrease in ca		Adv (0.213)
Other (include all Variances under £0.1M on this line)	Adv (0.059)	Adv (0.078)
Explained value	4.711	4.566
Actual value (check)	4.711	4.566
Of Which:		
Subtotal of above variances due to Price	Adv (0.324)	Adv (0.119)
Subtotal of above variances due to Volume		Adv (0.490)
Validation	TRUE	TRUE

NEW
NEW
NEW
NEW

Acute Elective Day-Case Revenue		
Original Planned value	6.437	6.528
In Period Variances (brief explanation)		
Paediatric dentistry - increase in day case activity	Fav 0.149	
Endoscopy - increase in day case activity	Fav 0.100	
Increase in day case activity in a number of surgical specialties	Fav 0.245	
Endoscopy - increase in diagnostic endoscopic procedures		Fav 0.125
Increase in day case activity in a number of surgical specialties		Fav 0.322
Rheumatology - increase in day case activity		Fav 0.091
Clinical haematology - increase in day case activity		Fav 0.062
Other (include all Variances under £0.1M on this line)	Adv (0.020)	Fav 0.043
Explained value	6.911	7.171
Actual value (check)	6.911	7.171
Of Which:		
Subtotal of above variances due to Price	Fav 0.474	Fav 0.643
Subtotal of above variances due to Volume		
Validation	TRUE	TRUE

NEW
NEW
NEW

Non-Elective patients		
Original Planned value	14.701	14.783
In Period Variances (brief explanation)		
Over-performance on emergency care pathway excess bed days metric	Fav 0.117	Fav 0.577
Over-performance on emergency care pathway reduction in admissions me	Fav 0.524	Fav 0.523
Paediatric orthopaedics - decrease in non-elective activity	Adv (0.124)	
HIV - decrease in non-elective activity	Adv (0.263)	Adv (0.191)
Plastic and hand surgery - under-performance in emergency activity	Adv (0.116)	
Paediatric gastroenterology - discharge of two long stay non-elective babies		Fav 0.462
Elderly medicine - decrease in activity, particularly excess bed days		Adv (0.274)
Paediatric surgery - decrease in non-elective spells		Adv (0.164)
Paediatric medicine - decrease in non-elective spells		Adv (0.202)
Well babies - decrease in non-elective activity		Adv (0.135)
Cardiology - decrease in emergency spells		Adv (0.082)
Burns care - decrease in casemix of emergency spells		Adv (0.054)

NEW
NEW

Other (include all Variances under £0.1M on this line)	Adv (0.001)	Adv (0.073)
Explained value	14.838	15.170
Actual value (check)	14.838	15.170
Of Which:		
Subtotal of above variances due to Price	Fav 0.641	Fav 1.046
Subtotal of above variances due to Volume	Adv (0.504)	Adv (0.659)
Validation	TRUE	TRUE

NEW
NEW
NEW
NEW

Acute Outpatient Revenue

Original Planned value	18.252	18.734
In Period Variances (brief explanation)		
GU medicine - increase in outpatient attendances	Fav 0.177	
Paediatric ophthalmology - decrease in outpatient attendances	Adv (0.130)	
Over-performance on non-GP referrals metric	Fav 0.112	Fav 0.112
Over-performance on other outpatient metrics	Fav 0.300	Fav 0.300
Under-performance in rheumatology, partly relating to new to follow up ratio	Adv (0.084)	
GU medicine - increase in outpatient attendances		Fav 0.287
Gynaecology - increase in outpatient attendances, particularly outpatient pri		Fav 0.177
Paediatric diabetes - implementation of Best Practice Tariff		Fav 0.106
T&O - increase in outpatient attendances		Fav 0.080
Paediatric dentistry - increase in outpatient attendances		Fav 0.070
Other (include all Variances under £0.1M on this line)	Adv (0.050)	Fav 0.043
Explained value	18.577	19.909
Actual value (check)	18.577	19.909
Of Which:		
Subtotal of above variances due to Price	Fav 0.412	Fav 0.518
Subtotal of above variances due to Volume	Adv (0.087)	Fav 0.657
Validation	TRUE	TRUE

NEW
NEW
NEW
NEW

Acute A&E Revenue

Original Planned value	2.868	2.694
In Period Variances (brief explanation)		
A&E - decrease in attendances	Adv (0.071)	
Urgent Care Centre - increase in attendances	Fav 0.028	Fav 0.036
A&E - increase in attendances		Fav 0.040
Other (include all Variances under £0.1M on this line)	Adv (0.008)	
Explained value	2.817	2.770
Actual value (check)	2.817	2.770
Of Which:		
Subtotal of above variances due to Price	Adv (0.043)	-
Subtotal of above variances due to Volume		Fav 0.076
Validation	TRUE	TRUE

Amended
NEW
NEW
NEW
NEW
NEW
Amended

Amended
Amended

Amended
Amended

Acute Other NHS Revenue

Original Planned value	24.573	24.661
In Period Variances (brief explanation)		
Special Care Baby Unit - increase in cot days	Fav 0.129	
Paediatrics HDU - increase in bed days	Fav 0.113	
Adult critical care - decrease in bed days	Adv (0.112)	
Burns critical care - decrease in bed days	Adv (0.136)	
Under-performance on direct access activity for therapies & radiology	Adv (0.137)	
Burns care - increase in usage of skin (charged separately)		Fav 0.109
NICU & SCBU - increase in cot days		Fav 0.113
PbR excluded drugs		Fav 0.151
HIV - usage of anti-retroviral drugs		Fav 0.953
Paediatrics HDU - decrease in bed days		Adv (0.354)
Assisted conception - increase in activity		Fav 0.091
Pain management - increase in usage of spinal cord stimulators		Fav 0.078
Increase in insulin pumps usage		Fav 0.061
Other (include all Variances under £0.1M on this line)	Adv (0.095)	Fav 0.087
Explained value	24.335	25.950
Actual value (check)	24.335	25.950
Of Which:		
Subtotal of above variances due to Price	Adv (0.238)	-
Subtotal of above variances due to Volume		Fav 1.289
Validation	TRUE	TRUE

Amended
NEW
NEW
NEW
NEW
NEW
Amended

Amended
Amended

Amended
Amended

Acute Contract Adjustment/Penalties

Original Planned value	-	-
In Period Variances (brief explanation)		
Other (include all Variances under £0.1M on this line)		
Explained value	0.000	0.000
Actual value (check)	0.000	0.000
Of Which:		
Subtotal of above variances due to Price		
Subtotal of above variances due to Volume		
Validation	TRUE	TRUE

Non Mandatory/Non protected revenue

Original Planned value	3.905	3.928
In Period Variances (brief explanation)		
Assisted Conception Unit	Adv (0.096)	Fav 0.118
NHS Personal Injury Scheme	Adv (0.061)	
Overseas Patients	Adv (0.057)	Fav 0.145
Private Maternity deliveries behind plan	Adv (0.091)	Fav 0.323
Private Patients (all other specialities)	Adv (0.154)	Adv (0.291)
Amenity Beds under-utilisation	Adv (0.089)	Adv (0.145)
CIP slippage (on revised CIP schemes)	Adv (0.243)	Adv (0.295)

NEW
NEW
NEW
NEW

Other (include all Variances under £0.1M on this line)	Fav 0.020	Fav 0.033	
Explained value	3.134	3.816	
Actual value (check)	3.134	3.816	
Of Which:			
Subtotal of above variances due to Price		Fav 0.079	
Subtotal of above variances due to Volume	Adv (0.771)	Adv (0.191)	
Validation	TRUE	TRUE	

NEW
NEW
NEW
NEW

Research & Development Income			
<i>Original Planned value</i>			
	1.157	1.120	
<i>In Period Variances (brief explanation)</i>			
R&D NIHR programme grant income above plan		Fav 0.068	
Other	Adv (0.001)	Adv (0.028)	
Explained value	1.156	1.160	
Actual value (check)	1.156	1.160	
	TRUE	TRUE	

NEW
NEW
NEW
NEW

Education & Training Income			
<i>Original Planned value</i>			
	6.006	6.006	
<i>In Period Variances (brief explanation)</i>			
Additional NMET income below plan		Adv (0.123)	
Additional SIFT allocations (based on student numbers)		Fav 0.433	
Other	Adv (0.041)	Adv (0.058)	
Explained value	5.965	6.258	
Actual value (check)	5.965	6.258	
	TRUE	TRUE	

NEW
NEW
NEW
NEW

PFI Specific Income			
<i>Original Planned value</i>			
	-	-	
<i>In Period Variances (brief explanation)</i>			
Other			
Explained value	0.000	0.000	
Actual value (check)	0.000	0.000	
	TRUE	TRUE	

NEW
NEW
NEW
NEW

Other Operating Income			
<i>Original Planned value</i>			
	2.671	1.837	
<i>In Period Variances (brief explanation)</i>			
Facilities recharges for accommodation	Fav 0.086	Adv (0.122)	
Sponsorship Income	Fav 0.103	Fav 0.185	
General Misc income (including salary recharges)	Fav 0.102		
Reimbursement for West Mid Acquisition Consultancy costs		Fav 0.217	
Services To Non-NHS Bodies		Fav 0.083	
Services To NHS Bodies		Fav 0.078	
Reclassification of Salary Recharges (from expenditure)		Fav 1.191	
Other (include all Variances under £0.1M on this line)	Fav 0.060	Fav 0.033	
Explained value	3.022	3.502	
Actual value (check)	3.022	3.502	
Of Which:			
Subtotal of above variances due to Price		Fav 1.665	
Subtotal of above variances due to Volume	Fav 0.351		
Validation	TRUE	TRUE	

Cash Flow Statement Variances	Quarter ending 30-Jun-13	Quarter ending 30-Sep-13
Surplus / (deficit) variance	1.410	0.051
Non cash flows in operating surplus/(deficit) variance	(0.688)	(0.358)
Working capital movements variance	(6.717)	(7.931)
Non Current Provision Movements	0.011	0.011
Operating Cash flows after movements in working capital	(8.804)	(8.329)
Investing Cash flow Variance	(2.539)	0.372
Financing Cash flow Variance	0.000	(0.132)
Variance in increase (decrease) in cash	(11.343)	(8.089)

Number of CF variances checks failed 0 0 0

CIP Variances	2.648	2.868
Original Planned value		
In Period Variances (brief explanation)		
Slippage on achievement of CIP schemes (revenue); forecast Q2 improvent	Adv (1.560)	Adv (0.679)
Slippage on achievement of CIP schemes (revenue); forecast Q3/4 improvent		
Other		
Explained value	1.088	2.189
Actual value (check)	<u>1.088</u>	<u>2.189</u>
	TRUE	TRUE
Number of CIP variances checks FALSE	0	0

NEW
NEW
NEW
NEW

DH Budgeting Information for Chelsea and Westminster

Notes: The DH has asked for, and Monitor has agreed to collect and provide, the information in the tables on this worksheet each quarter. The information does not form part of the formal regulatory framework or Monitor's approach to the potential use of its statutory powers of intervention.

1 Movement in Provisions for liabilities and charges

		Plan for Quarter ending 30-Jun-13	Actual for Quarter ending 30-Jun-13	Plan for Quarter ending 30-Sep-13	Actual for Quarter ending 30-Sep-13	Plan for Quarter ending 31-Dec-13	Plan for Quarter ending 31-Mar-14	Forecast to March 2014
Opening Balance	£m (-ve)	(3.330)	(3.330)	(3.120)	(2.919)	(2.909)	(2.898)	-2.898
Change in the discount rate	£m (+ve/-ve)							-
Arising during the year	£m (-ve)							-
Utilised during the year	£m (+ve)	0.210	0.127	0.211	0.011	0.011	0.011	0.160
Reclassified to liabilities held in disposal groups in year	£m (+ve)							-
Reversed unused	£m (+ve)		0.284					0.284
Unwinding of discount	£m (-ve)							-
Calculated closing Balance	£m	(3.120)	(2.919)	(2.909)	(2.908)	(2.898)	(2.887)	(2.454)
Closing balance from SoFP	£m (-ve)	(3.120)	(2.919)	(2.909)	(2.908)	(2.898)	(2.887)	
Difference		0.000	0.000	0.000	0.000	0.000	0.000	
Check		TRUE	TRUE	TRUE	TRUE	TRUE	TRUE	

2 Revenue costs of IFRS: Arrangements brought on SoFP under IFRIC12 (e.g PFI)

Depreciation charge	£m (-ve)							-
Interest expense	£m (-ve)							-
Impairment charge - AME on PFI assets only	£m (-ve)							-
Impairment charge - DEL on PFI assets only	£m (-ve)							-
Other expenditure	£m (-ve)							-
Revenue receivable from subleasing	£m (-ve)							-
Impact on PDC dividend payable	£m (-ve)							-
Total IFRS expenditure (IFRIC12)	£m (-ve)	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Revenue costs of the same schemes if they had been accounted for under UK GAAP / ESA95 (net of any sublease income)	£m (-ve)							-
Net IFRS change (IFRIC12)	£m (+/-ve)	0.000	0.000	0.000	0.000	0.000	0.000	0.000

Capital costs of IFRS: Arrangements brought on SoFP under IFRIC12 (e.g PFI)

Capital consequences of IFRS: PFI and other items under IFRIC12	£m (+ve)							-
Capital expenditure on UK GAAP basis	£m (+ve)							-

3 Impairment of assets

Loss or damage from normal operations	£m (-ve)							-
Loss as a result of catastrophe	£m (-ve)							-
Abandonment of assets in course of construction	£m (-ve)							-
Unforeseen obsolescence	£m (-ve)							-
Over specification of assets	£m (-ve)							-
Other	£m (-ve)							-
Changes in market price	£m (-ve)							-
Reversal of impairments	£m (+ve)							-
Total Impairments	£m	0.000	0.000	0.000	0.000	0.000	0.000	0.000
of which								
Total DEL	£m (-ve)	-	-	-	-	-	-	-
Total AME	£m (-ve)	-	-	-	-	-	-	-
Expense in period from SoCI	£m (-ve)	0.000	0.000	0.000	0.000	0.000	0.000	
Difference		0.000	0.000	0.000	0.000	0.000	0.000	
Check		TRUE	TRUE	TRUE	TRUE	TRUE	TRUE	
Checks with problems		0	0	0	0	0	0	

Worksheet "Activity"

[Click to go to index](#)

Activity Information for Chelsea and Westminster

Notes: Monitor will in future be devoting more attention to the activity levels reported by foundation trusts. The information does not form part of the formal regulatory framework or Monitor's approach to the potential use of its statutory powers of intervention.

Trusts on monthly monitoring should note that this information is required only on a quarterly basis so data is for a 3 month period.

The following section should only be completed by Acute and Specialist FT's.

Acute & Specialist Activity Metrics

Elective inpatients
 Elective day case patients (Same day)
 Non-Elective
 Outpatients - first attendance
 Outpatients - follow up
 Outpatients - procedures
 A&E
 Other NHS activity
 Other

Spells
 Cases
 Spells
 Attendances
 Attendances
 Procedures
 Attendances
 Various

	Plan for	Actual for	Plan for	Actual for	Plan for	Plan for	Plan for	Plan for	Actual for	Variance for
	Quarter ending	Year ending	Year to date	Year to date	Year to date					
	30-Jun-13	30-Jun-13	30-Sep-13	30-Sep-13	31-Dec-13	31-Mar-14	31-Mar-14	30-Sep-13	30-Sep-13	30-Sep-13
Elective inpatients	4,621	3,072	4,666	2,268	4,894	5,002	19,183	9,287	5,340	(3,947)
Elective day case patients (Same day)	6,186	6,808	6,279	7,740	6,532	6,810	25,807	12,465	14,548	2,083
Non-Elective	8,094	7,868	8,160	8,273	8,328	8,280	32,862	16,254	16,141	(113)
Outpatients - first attendance	56,481	57,008	57,809	57,262	57,010	59,401	230,701	114,290	114,270	(20)
Outpatients - follow up	54,234	62,335	55,510	60,982	54,742	57,040	221,526	109,744	123,317	13,573
Outpatients - procedures	9,722	8,160	9,951	9,445	9,813	10,224	39,710	19,673	17,605	(2,068)
A&E	28,484	28,222	26,962	27,681	29,243	30,529	115,218	55,446	55,903	457
Other NHS activity	221,814	217,966	222,673	226,183	222,374	221,998	888,859	444,487	444,149	(338)
Other	-	-	-	-	-	-	-	-	-	-

Worksheet "Other NHS Revenue"

[Click to go to index](#)

Other NHS Revenue Information for Chelsea and Westminster

The following section should only be completed by Acute and Specialist FT's.

The value of 'Other NHS activity revenue' has increased to a point where it is so large that we need a better analysis, please complete the analysis below as far as practical, adding any categories you consider material.

We do not intend to create a significant extra reporting burden, so if necessary approximate or apportion revenues where a perfect and detailed analysis would take you a disproportionate length of time and effort to produce.

Trusts on monthly monitoring should note that this information is required only on a quarterly basis so data is for a 3 month period.

Actual for Actual for

Quarter ending 30-Jun-13 Quarter ending 30-Sep-13

Notes

Analysis of 'Other NHS activity revenue, tariff' from SoCI

An analysis of the published 13-14 tariff suggests this revenue can fall into the following categories:

Per SoCI, Tariff revenue

units

£m

0.257 0.544

Mandatory Unbundled Services Tariff

- NEW** Direct access and outpatient diagnostic imaging services
- NEW** Unbundled chemotherapy delivery
- NEW** Unbundled external beam radiotherapy

£m
£m
£m

0.166 0.396
0.091 0.148
- -

Mandatory Maternity Pathway Tariff

- NEW** Delivery phase (mandatory)
- NEW** Non-delivery phases (mandatory)
- NEW** Non-mandatory admitted patient and outpatient procedure prices for maternity services
- NEW** Non-mandatory outpatient attendance prices for maternity services
- NEW** Non-mandatory community prices for maternity services
- NEW** Non-mandatory antenatal assessment visit for maternity services

£m
£m
£m
£m
£m
£m

- -
- -
- -
- -
- -
- -

If this revenue is included in your outpatient revenue on the SoCI please leave as zero here
If this revenue is included in your community revenue on the SoCI please leave as zero here
If this revenue is included in your community revenue on the SoCI please leave as zero here

Other Mandatory Tariff

- NEW** Direct access services
- NEW** Rehabilitation
- NEW** Cystic Fibrosis

£m
£m
£m

- -
- -
- -

If this revenue is included in your community or outpatient revenue on the SoCI please leave as zero here

Non-mandatory tariff

- NEW** Non-mandatory tariff

£m

- -

If you do NOT record non-mandatory tariffs as "other NHS Activity revenue" then please leave as zero here

Best Practice Tariff

- NEW** Best Practice Tariffs

£m

- -

If you do NOT record BPT conditional payments as "other NHS Activity revenue" then please leave as zero here

Specialised Services top ups

- NEW** Specialised Services top ups

£m

- -

If you do NOT record Specialised services top-ups as "other NHS Activity revenue" then please leave as zero here

Other

NEW	

£m
£m
£m
£m
£m

If you have material 'Other NHS activity, tariff' revenues not in the above categories please name the category and disclose the revenue here

NEW Unexplained revenue

£m

0.000 -

Analysis of "Other NHS activity revenue, non tariff" from line 69 of the SoCI

An analysis of the published 13-14 tariff suggests and anecdotally trusts tell us the non-tariff revenue can fall into these categories

£m

24.078 25.406

- NEW** CQUIN payments
- NEW** Diagnostic tests revenue outside tariff (blood, tissue, etc)
- NEW** Diagnostic Imaging revenue outside tariff (X-ray, MRI, CT, etc)
- NEW** High cost drugs revenue from commissioners
- NEW** Patient transport revenue
- NEW** Specialised services top-ups (outside tariff)

£m
£m
£m
£m
£m
£m

1.550 1.550
- 0.013
- -
2.713 2.864
0.247 0.247
- -

If you do NOT record revenue in a category below as "other NHS Activity revenue, non-tariff" then please leave that category as zero here

If you do NOT record specialised service top ups as "other NHS Activity revenue" then please leave as zero here

HRGs specifically excluded from tariff

- NEW** Critical care (outside tariff) Adult, Neonate, Paediatric
- NEW** Rehabilitation
- NEW** Inpatient/hospital Palliative care (all ages)
- NEW** Chemotherapy drugs (HRGs SB01Z-SB09Z)
- NEW** Other drugs (all types, all bands)
- NEW** Other HRGs specifically excluded from tariff

£m
£m
£m
£m
£m
£m

4.805 4.569
- -
- -
- -
- -
- -

If this revenue is included in your community or outpatient revenue on the SoCI please leave as zero here
If you do NOT record palliative care revenue as "other NHS Activity revenue" then please leave as zero here

Other

NEW	HIV service
NEW	MSK service direct access
NEW	Assisted conception
NEW	
NEW	

£m
£m
£m
£m
£m

13.670 14.625
0.329 0.259
0.302 0.393

If you have material 'Other NHS activity, non-tariff' revenues not in the above categories please name the category and disclose the revenue here

NEW Unexplained revenue

£m

0.462 0.886

Worksheet "RiskRating"

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Risk Ratings based on Annual Planning return from CHELSEA

	Reported Quarter to 30-Jun-13	Reported YTD to 30-Jun-13	Reported Quarter to 30-Sep-13	Reported YTD to 30-Sep-13
Underlying performance				
EBITDA YTD <i>from SoCI</i>	4.805	4.805	7.027	11.832
Operating Revenue for EBITDA YTD <i>from SoCI</i>	85.146	85.146	90.949	176.095
EBITDA Margin metric	5.6%	5.6%	7.7%	6.7%
EBITDA Margin rating	3	3	3	3
Achievement of plan				
Actual EBITDA <i>from SoCI</i>	4.805	4.805	7.027	11.832
Planned EBITDA <i>from SoCI (APR Plan)</i>	6.310	6.310	7.005	13.315
EBITDA % of plan achieved metric	76.1%	76.1%	100.3%	88.9%
EBITDA % of plan achieved rating	3	3	5	4
Financial Efficiency				
Net return after financing costs, YTD <i>from SoCI</i>	(1.102)	(1.102)	0.930	(0.172)
Opening Financing <i>from SoFP</i>	368.402	368.402	366.476	368.402
Closing Financing <i>from SoFP</i>	366.476	366.476	367.260	367.260
Net return after Financing metric	-1.2%	-1.2%	1.0%	-0.1%
Net return after financing rating	2	2	3	3
Surplus / (deficit) YTD <i>from SoCI</i>	(0.102)	(0.102)	0.938	0.836
Gain / (loss) on asset disposals <i>from SoCI</i>	-	-	0.008	0.008
Gain / (loss) on transfers by absorption <i>from SoCI</i>	-	-	-	-
I & R (Impairments & restructuring) expenses <i>from SoCI</i>	-	-	-	-
Total IFRS Operating Revenue YTD <i>from SoCI</i>	86.146	86.146	90.949	177.095
IS Surplus margin metric	-0.1%	-0.1%	1.0%	0.5%
IS Surplus margin rating	2	2	3	2
Financial Efficiency rating	2	2	3	3
Liquidity				
Cash for liquidity purposes <i>from SoFP</i>	30.429	30.429	31.084	31.084
Operating expenditure within EBITDA YTD <i>from SoCI</i>	80.341	80.341	83.922	164.263
WCF in terms of Operating Expenditure YTD	22.4	22.4	21.4	21.9
Liquidity days metric (WCF limited to 30 days)	34.1	34.1	33.3	34.1
Liquidity rating	4	4	4	4
Weighted Average Rating	2.85	2.85	3.45	3.15
Overriding rules				
3 Return submitted on time	YES		YES	
3 Return submitted complete and correct	YES		YES	
2 PDC dividend not paid in full			FALSE	
3 Year 2 OR Year 3 deficit planned excluding I & R	NO			
2 Year 2 AND Year 3 deficit planned excluding I & R	NO			
3 One financial criteria scored at '2'	TRUE	3	FALSE	
2 One financial criteria scored at '1'	FALSE		FALSE	
2 Two financial criteria scored at '2'	FALSE		FALSE	
1 Two financial criteria scored at '1'	FALSE		FALSE	
2 Unplanned breach of PBC ratios	FALSE		FALSE	
4 Less than 1 year as an Foundation Trust	FALSE		FALSE	
Limit due to overriding rules	3	3	0	0
Financial Risk Rating	3	3	3	3

key to scoring

Underlying performance		25%				
5	4	3	2	1		
11%	9%	5%	1%	<1%		

Achievement of plan		10%				
5	4	3	2	1		
100%	85%	70%	50%	<50%		

Net Return after financing		20%				
5	4	3	2	1		
3%	2%	-0.5%	-5%	< -5%		

IS surplus margin		20%				
5	4	3	2	1		
3%	2%	1%	-2%	< -2%		

Liquidity metric		25%				
5	4	3	2	1		
60	25	15	10	<10		

Worksheet "CoSRR"

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Continuity of Service Shadow Risk Ratings (pilot indicators for 2013/14)

			Historic Year to 31-Mar-13	Reported Quarter to 30-Jun-13	Reported YTD to 30-Jun-13	Reported Quarter to 30-Sep-13	Reported YTD to 30-Sep-13	Reported Quarter to 31-Dec-13	Reported YTD to 31-Dec-13	Reported Quarter to 31-Mar-14	Reported YTD to 31-Mar-14
Debt Service Cover											
IS04400	PDC dividend expense	from SoCI	(9.947)	(2.559)	(2.559)	(2.648)	(5.207)	-	(5.207)	-	(5.207)
IS04100	Interest Expense on Overdrafts and Working Capital Facilities	from SoCI	-	-	-	-	-	-	-	-	-
IS04110	Interest Expense on Bridging loans	from SoCI	-	-	-	-	-	-	-	-	-
IS04120	Interest Expense on Non-commercial borrowings	from SoCI	(0.831)	(0.205)	(0.205)	(0.196)	(0.401)	-	(0.401)	-	(0.401)
IS04130	Interest Expense on Commercial borrowings	from SoCI	-	-	-	-	-	-	-	-	-
IS04140	Interest Expense on Finance leases (non-PFI)	from SoCI	(0.100)	(0.032)	(0.032)	(0.031)	(0.063)	-	(0.063)	-	(0.063)
IS04150	Interest Expense on PFI leases & liabilities	from SoCI	-	-	-	-	-	-	-	-	-
IS04200	Other Finance Costs	from SoCI	(0.019)	-	-	-	-	-	-	-	-
IS04610	Non-Operating PFI costs (eg contingent rent)	from SoCI	-	-	-	-	-	-	-	-	-
CF07150	Public Dividend Capital repaid	from SoCF	-	-	-	-	-	-	-	-	-
CF07610	Repayment of bridging loans	from SoCF	-	-	-	-	-	-	-	-	-
CF07710	Repayment of non-commercial loans	from SoCF	(1.813)	(1.813)	(1.813)	-	(1.813)	-	(1.813)	-	(1.813)
CF07810	Repayment of commercial loans	from SoCF	-	-	-	-	-	-	-	-	-
CF07360	Capital element of finance lease rental payments - On-balance she	from SoCF	-	-	-	-	-	-	-	-	-
CF07350	Capital element of finance lease rental payments - other	from SoCF	(0.214)	(0.016)	(0.016)	(0.135)	(0.151)	-	(0.151)	-	(0.151)
	Debt Service		-12.923	-4.625	-4.625	-3.010	-7.635	0.000	-7.635	0.000	-7.635
MEM0180	Revenue available for Debt Service		33.820	4.832	4.832	7.047	11.879	0.000	11.879	0.000	11.879
	Debt Service		-12.923	-4.625	-4.625	-3.010	-7.635	0.000	-7.635	0.000	-7.635
	Debt Service Cover metric		2.62x	1.04x	1.04x	2.34x	1.56x	0.00x	1.56x	0.00x	1.56x
	Debt Service Cover rating		4	1	1	3	2	1	2	1	2
Liquidity											
IS02000	Cash for CoS liquidity purposes	from SoFP	12.476	10.429	10.429	11.084	11.084	0.000	0.000	0.000	0.000
	Operating Expenses within EBITDA, Total	from SoCI	-310.325	-80.341	-80.341	-83.922	-164.263	0.000	-164.263	0.000	-164.263
	Liquidity metric		14.5	11.7	11.7	11.9	12.1	0.0	0.0	0.0	0.0
	Liquidity rating		4	4	4	4	4	4	4	4	4

key to scoring

Debt Service Cover		50%	
4	3	2	1
2.5	1.75	1.25	<1.25

key to scoring

Liquidity		50%	
4	3	2	1
0	-7	-14	<-14

	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
6	Worksheet "Summary"															
7	Click to go to index															
8	High level summary of financial plan of CHELSEA															
9																
10																
11																
12	Financial Summary															
13	£m															
14		Previous YE				Current Quarter				YTD				FY		
15		Actual	Plan	Actual	Variance	Plan	Actual	Variance	Plan	Actual	Variance	Plan	Actual	Variance	Plan	
16	Operating Revenue for EBITDA	344.0	86.2	90.9	4.7	171.5	176.1	4.6	346.4							
17	Employee Expenses	(176.9)	(44.7)	(46.7)	(2.0)	(89.4)	(92.0)	(2.6)	(177.6)							
18	Drugs	(55.4)	(14.6)	(16.0)	(1.4)	(29.0)	(31.3)	(2.3)	(58.7)							
19	PFI operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
20	Other costs	(78.0)	(19.9)	(21.2)	(1.3)	(39.8)	(41.0)	(1.1)	(80.5)							
21	Clinical supplies	(37.2)	(8.9)	(9.9)	(0.9)	(17.9)	(19.1)	(1.2)	(35.9)							
22	Decrease (increase) in inventories of finished goods & WIP	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
23	Vehicle Fuel costs (ambulance trusts)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
24	Non-clinical supplies	(40.3)	(10.5)	(10.5)	(0.0)	(20.8)	(20.7)	0.2	(42.6)							
25	Cost of Secondary Commissioning of mandatory services	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
26	Research & Development expense	(0.0)	(0.1)	(0.2)	(0.1)	(0.2)	(0.3)	(0.2)	(0.3)							
27	Education and training expense	(0.7)	(0.3)	(0.2)	0.1	(0.5)	(0.3)	0.2	(1.1)							
28	Misc. other Operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
29	EBITDA	33.7	7.0	7.0	0.0	13.3	11.8	(1.5)	29.5							
30	Donations of PPE & intangible assets	1.9	0.0	0.0	0.0	1.0	1.0	0.0	3.5							
31	Depreciation and amortisation	(11.7)	(3.3)	(3.2)	0.0	(6.5)	(6.4)	0.1	(12.9)							
32	Impairment Losses (Reversals) net (on non-PFI assets)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
33	Impairment Losses (Reversals) net on PFI assets	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
34	Restructuring Costs	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
35	Operating Surplus	23.9	3.8	3.8	0.0	7.8	6.5	(1.4)	20.1							
36	Net interest	(0.8)	(0.2)	(0.2)	(0.0)	(0.4)	(0.4)	(0.0)	(0.8)							
37	Interest Income	0.2	0.0	0.0	0.0	0.1	0.0	(0.0)	0.1							
38	Interest Expense on Overdrafts and Working Capital Facilities	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
39	Interest Expense on Bridging loans	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
40	Interest Expense on Non-commercial borrowings	(0.8)	(0.2)	(0.2)	0.0	(0.4)	(0.4)	0.0	(0.8)							
41	Interest Expense on Commercial borrowings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
42	Interest Expense on Finance leases (non-PFI)	(0.1)	(0.0)	(0.0)	0.0	(0.1)	(0.1)	0.0	(0.1)							
43	Interest Expense on PFI leases & liabilities	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
44	Other Non-Operating items	(10.1)	(2.6)	(2.6)	(0.1)	(5.1)	(5.2)	(0.1)	(10.2)							
45	Gain (Loss) on Financial Instruments Designated as Cash Flow Hedges	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
46	Gain (Loss) on Derecognition of Available-for-Sale Financial Assets	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
47	Gain (Loss) on Derecognition of Non-Current Assets Not Held for Sale, Total	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
48	Gain (Loss) from investments	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
49	Dividend Income	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
50	Share of profit (loss) from equity accounted Associates, Joint Ventures, Total	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
51	Other Non-Operating income, Total	(0.1)	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
52	Other Finance Costs	(0.0)	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
53	PDC dividend expense	(9.9)	(2.6)	(2.6)	(0.1)	(5.1)	(5.2)	(0.1)	(10.2)							
54	PFI Contingent Rent	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
55	Other Non-Operating expenses (incl. Misc)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
56	Income Tax (expense)/ income	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
57	Net Surplus / (Deficit)	13.0	1.0	0.9	(0.1)	2.3	0.8	(1.4)	9.0							
58	EBITDA % Income	9.8%	8.1%	7.7%	-0.4%	7.8%	6.7%	-1.0%	8.5%							
59	CIP% of Op. Exp. less PFI Exp.	3.7%	3.5%	2.5%	-1.0%	3.4%	2.0%	-1.4%	3.9%							
60	Pay CIPs as % Pay Costs	-3.1%	-2.5%	-3.0%	-0.5%	-2.4%	-2.1%	0.4%	-2.8%							
61	Net Surplus / (Deficit)	13.0	1.0	0.9	(0.1)	2.3	0.8	(1.4)	9.0							
62	Change in working capital	(8.4)	0.0	(7.9)	(7.9)	(5.7)	(20.4)	(14.6)	(0.2)							
63	(Increase)/decrease in inventories	(0.1)	0.1	0.2	0.2	0.5	0.9	0.3	0.1							
64	(Increase)/decrease in tax receivable	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
65	(Increase)/decrease in NHS Trade Receivables	(0.1)	0.6	(11.1)	(11.7)	(5.9)	(23.7)	(17.8)	(0.7)							
66	(Increase)/decrease in Non NHS Trade Receivables	0.3	0.1	(0.7)	(0.8)	0.8	0.3	(0.4)	0.0							
67	(Increase)/decrease in other related party receivables	(0.7)	0.0	(0.0)	(0.0)	0.8	0.5	(0.3)	0.8							
68	(Increase)/decrease in other receivables	0.0	(0.7)	(0.4)	0.4	(0.6)	(0.1)	0.5	(0.1)							
69	(Increase)/decrease in accrued income	(0.4)	(0.0)	0.4	0.4	0.2	0.1	(0.1)	0.1							
70	(Increase)/decrease in other financial assets	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
71	(Increase)/decrease in prepayments	0.0	(0.8)	(3.3)	(2.5)	(3.8)	(4.1)	(0.2)	(0.0)							
72	(Increase)/decrease in Other assets	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
73	Increase(decrease) in Deferred Income (excl. Donated Assets)	(3.5)	1.0	0.7	(0.3)	3.5	0.8	(2.7)	0.5							
74	Increase(decrease) in Deferred Income (Donated Assets)	(1.5)	0.0	2.2	2.2	0.0	2.2	2.2	(0.2)							
75	Increase(decrease) in Current provisions	(3.6)	(0.2)	(0.0)	0.2	(0.4)	(0.4)	(0.0)	(0.4)							
76	Increase(decrease) in post-employment benefit obligations	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
77	Increase(decrease) in tax payable	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
78	Increase(decrease) in Trade Creditors	(0.2)	(0.0)	2.4	2.4	0.7	2.9	2.2	1.1							
79	Increase(decrease) in Other Creditors	0.1	0.0	1.6	1.5	(1.7)	0.3	2.0	(1.8)							
80	Increase(decrease) in accruals	(0.0)	0.0	(0.1)	(0.1)	0.6	(0.5)	(1.0)	0.6							
81	Increase(decrease) in other Financial liabilities	0.0	(0.0)	0.2	0.2	(0.3)	(0.0)	0.2	(0.2)							
82	Increase(decrease) in Other liabilities	1.1	0.0	(0.1)	(0.1)	0.0	0.5	0.5	0.0							
83	Increase(decrease) in Non Current provisions	0.0	(0.0)	0.0	0.0	(0.0)	0.0	0.0	(0.0)							
84	Non cash I&E items	21.9	5.9	5.6	(0.4)	12.7	11.6	(1.0)	24.9							
85	Tax expense/(refund)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
86	Finance (income)/charges	0.8	0.2	0.2	0.0	0.4	0.4	0.0	0.8							
87	Share of (profit)/loss from equity accounted investments net of cash distributions received	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
88	Donations & Grants received of PPE & intangible assets (non cash)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
89	Other operating non-cash (revenues)/expenses	(0.6)	0.2	(0.3)	(0.5)	0.4	(0.4)	(0.7)	0.7							
90	Depreciation and amortisation, total	11.7	3.3	3.2	(0.0)	6.5	6.4	(0.1)	12.9							
91	Impairment losses/(reversals)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
92	Unrealised (gains)/losses on foreign currency exchange	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
93	(Gain)/loss on disposal of property plant and equipment	(0.1)	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
94	(Gain)/loss on disposal of intangible assets	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
95	Share of (profit)/loss from investments	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0							
96	PDC dividend expense	9.9	2.6	2.6	0.1	5.1	5.2	0.1	10.2							
97	Other increases/(decreases) to reconcile to profit/(loss) from operations	0.2	(0.3)	(0.2)	0.1	0.3	(0.0)	(0.3)	0.2							
98	Cashflow from operations	26.5	6.9	(1.4)	(8.3)	9.2	(7.9)	(17.1)	33.7							
99	Cashflow from investing activities	(16.8)	(3.8)	(3.5)	0.4	(7.0)	(9.2)	(2.2)	(48.2)							
100	Property, plant and equipment - maintenance expenditure	(2.7)	(0.2)	(0.7)	(0.5)	(0.5)	(1.5)	(1.0)	(1.2)							
101	Property, plant and equipment - non-maintenance expenditure	0.0	(0.0)	0.0	0.0	(0.0)	(0.0)	0.0	(17.6)							
102	Plant and equipment - Information Technology	(2.8)	(0.6)	(0.6)	(0.0)	(0.7)	(1.0)	(0.2)	(5.6)							
103	Plant and equipment - Other</															

	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
	Detailed Financial Summary				Previous YE	Current Quarter					YTD				FY	
	Em				Actual	Plan	Actual	Variance		Plan	Actual	Variance		Plan		
159				Community												
160				Co Cost & volume contract revenue	0.5	0.2	0.2	(0.1)		0.4	0.3	(0.1)		0.8		
161				Co Block contract revenue	3.1	0.5	0.5	(0.0)		1.1	1.1	(0.0)		2.1		
162				Ambulance												
163				Am Cost & volume contract revenue	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
164				Am Block contract revenue	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
165				Am Other clinical MS revenue	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
166				Mental Health												
167				Mh Cost & volume contract revenue	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
168				Mh Block contract revenue	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
169				Mh Clinical partnership (s31) revenue	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
170				Mh Secondary commissioning revenue	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
171				Mh Other clinical MS revenue	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
172				Acute												
173				Ac Elective revenue	42.0	11.7	11.7	0.0		23.2	23.4	0.2		48.2		
174				Ac Non-Elective revenue	67.5	14.8	15.2	0.4		29.5	30.0	0.5		59.7		
175				Ac Outpatient revenue	68.2	18.7	19.9	1.2		37.0	38.5	1.5		74.8		
176				Ac A&E revenue	11.6	2.7	2.8	0.1		5.6	5.6	0.0		11.6		
177				Ac other revenue	98.3	24.7	26.0	1.3		49.2	50.3	1.1		98.5		
178				Private patient revenue	11.9	3.3	3.4	0.1		6.6	6.2	(0.4)		15.8		
179				Grants and donations in cash	0.1	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
180				Other operating revenues	40.6	9.6	11.3	1.7		19.0	20.8	1.8		34.9		
181				Total operating revenue for EBITDA	344.0	86.2	90.9	4.7		171.5	176.1	4.6		346.4		
182				Grants and donations of PPE and intangible assets	1.9	0.0	0.0	0.0		1.0	1.0	0.0		3.5		
183				Total operating revenue	345.9	86.2	90.9	4.7		172.5	177.1	4.6		349.8		
184				Employee Expenses	(176.9)	(44.7)	(46.7)	(2.0)		(89.4)	(92.0)	(2.6)		(177.6)		
185				Drugs expense	(55.4)	(14.6)	(16.0)	(1.4)		(29.0)	(31.3)	(2.3)		(58.7)		
186				Supplies (clinical & non-clinical)	(77.5)	(19.4)	(20.4)	(1.0)		(38.8)	(39.8)	(1.0)		(78.5)		
187				Clinical supplies	(37.2)	(8.9)	(9.9)	(0.9)		(17.9)	(19.1)	(1.2)		(35.9)		
188				Non-clinical supplies	(40.3)	(10.5)	(10.5)	(0.0)		(20.8)	(20.7)	0.2		(42.6)		
189				PFI expenses	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
190				Other expenses	(0.5)	(0.5)	(0.9)	(0.4)		(1.1)	(1.2)	(0.1)		(2.0)		
191				Decrease (increase) in inventories of finished goods & WIP	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
192				Vehicle Fuel costs (ambulance trusts)	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
193				Cost of Secondary Commissioning of mandatory services	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
194				Research & Development expense	(0.0)	(0.1)	(0.2)	(0.1)		(0.2)	(0.3)	(0.2)		(0.3)		
195				Education and training expense	(0.7)	(0.3)	(0.2)	0.1		(0.5)	(0.3)	0.2		(1.1)		
196				Misc. other Operating expenses	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
197				Total operating expenses within EBITDA	(310.3)	(79.2)	(83.9)	(4.7)		(158.2)	(164.3)	(6.0)		(316.8)		
198				EBITDA	33.7	7.0	7.0	0.0		13.3	11.8	(1.5)		29.5		
199				Depreciation and amortisation	(11.7)	(3.3)	(3.2)	0.0		(6.5)	(6.4)	0.1		(12.9)		
200				Depreciation and Amortisation - owned assets	(11.4)	(3.3)	(3.2)	0.1		(6.5)	(6.3)	0.2		(12.9)		
201				Depreciation and Amortisation - assets held under finance leases	(0.2)	0.0	(0.1)	(0.1)		0.0	(0.1)	(0.1)		0.0		
202				Depreciation and Amortisation - PFI assets	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
203				Impairments & Restructuring	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
204				Total operating expenses	(322.0)	(82.5)	(87.2)	(4.7)		(164.7)	(170.6)	(5.9)		(329.7)		
205				Operating Surplus (Deficit)	23.9	3.8	3.8	0.0		7.8	6.5	(1.4)		20.1		
206				Profit (loss) on asset disposal	(0.1)	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
207				Net interest	(0.8)	(0.2)	(0.2)	(0.0)		(0.4)	(0.4)	(0.0)		(0.8)		
208				Taxation	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
209				PDC dividend	(9.9)	(2.6)	(2.6)	(0.1)		(5.1)	(5.2)	(0.1)		(10.2)		
210				Other non-operating items	1.9	0.0	(0.0)	(0.0)		1.0	1.0	(0.0)		3.5		
211				Net Surplus / (Deficit)	13.0	1.0	0.9	(0.1)		2.3	0.8	(1.4)		9.0		
212				EBITDA % of Op. revenue	9.8%	8.1%	7.7%	-0.4%		7.8%	6.7%	-1.0%		8.5%		
213				EBITDA	33.7	7.0	7.0	0.0		13.3	11.8	(1.5)		29.5		
214				Change in Current Receivables	(0.5)	(0.1)	(12.2)	(12.1)		(5.0)	(23.0)	(18.0)		(0.0)		
215				(Increase)/decrease in tax receivable	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
216				(Increase)/decrease in NHS Trade Receivables	(0.1)	0.6	(11.1)	(11.7)		(5.9)	(23.7)	(17.8)		(0.7)		
217				(Increase)/decrease in Non NHS Trade Receivables	0.3	0.1	(0.7)	(0.8)		0.8	0.3	(0.4)		0.0		
218				(Increase)/decrease in other related party receivables	(0.7)	0.0	(0.0)	(0.0)		0.8	0.5	(0.3)		0.8		
219				(Increase)/decrease in other receivables	0.0	(0.7)	(0.4)	0.4		(0.6)	(0.1)	0.5		(0.1)		
220				Change in Current Payables	(0.0)	0.0	4.0	3.9		(1.0)	3.2	4.2		(0.7)		
221				Increase/(decrease) in tax payable	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
222				Increase/(decrease) in Trade Creditors	(0.2)	(0.0)	2.4	2.4		0.7	2.9	2.2		1.1		
223				Increase/(decrease) in Other Creditors	0.1	0.0	1.6	1.5		(1.7)	0.3	2.0		(1.8)		
224				Other changes in WC	(7.9)	0.1	0.3	0.3		0.3	(0.5)	(0.8)		0.6		
225				Change in Non Current Provisions	0.0	(0.0)	0.0	0.0		(0.0)	0.0	0.0		(0.0)		
226				Other non-cash items	1.3	(0.1)	(0.5)	(0.4)		1.6	0.6	(1.0)		4.3		
227				Cashflow from operating activities	26.5	6.9	(1.4)	(8.3)		9.2	(7.9)	(17.1)		33.7		
228				Capital expenditure (accruals basis)	0.0	(4.2)	(3.8)	0.5		(8.0)	(7.6)	0.4		(49.9)		
229				Asset sale proceeds	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
230				other Investing cash flows	(16.8)	0.4	0.3	(0.1)		1.0	(1.6)	(2.5)		1.7		
231				Cashflow before financing	9.8	3.1	(4.9)	(8.0)		2.2	(17.1)	(19.3)		(14.6)		
232				Net interest	(0.9)	0.0	(0.0)	(0.0)		(0.4)	(0.5)	(0.0)		(0.8)		
233				Interest (paid) on bridging loans	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
234				Interest (paid) on commercial loans	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
235				Interest (paid) on non-commercial loans	(0.8)	0.0	0.0	0.0		(0.4)	(0.4)	0.0		(0.8)		
236				Interest (paid) on bank overdrafts	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
237				Interest element of finance lease rental payments - other	(0.1)	0.0	(0.0)	(0.0)		(0.0)	(0.1)	(0.0)		(0.0)		
238				Interest element of finance lease rental payments - On-balance sheet PFI	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
239				PDC dividends (paid)	(9.6)	(5.0)	(5.1)	(0.1)		(5.0)	(5.1)	(0.1)		(10.1)		
240				Movement in loans	1.4	0.0	0.0	0.0		(1.8)	(1.8)	(0.0)		20.0		
241				PDC received/(repaid)	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.3		
242				Donations received in cash	0.0	0.0	0.0	0.0		0.0	0.0	0.0		0.0		
243				other financing cashflows	(0.1)	(0.1)	(0.1)	(0.0)		(0.1)	(0.1)	0.0		(0.1)		
244				Net cash inflow (outflow)	0.6	(2.0)	(10.1)	(8.1)		(5.2)	(24.6)	(19.4)		(5.4)</		

Worksheet "Targets and Indicators"

Declaration of risks against healthcare targets and indicators for 2013-14 by Chelsea and Westminster

These targets and indicators are set out in the **Compliance Framework**
 Definitions can be found in **Appendix B of the Compliance Framework 13/14**

NOTE: If a particular indicator does not apply to your FT then please enter "Not relevant" for those lines.

Key:

must complete
may need to complete

Target or Indicator (per Compliance Framework 13/14)	Threshold or target YTD	Risk declared at Annual Plan	Quarter 1		Quarter 2		Any comments or explanations
			Actual Performance	Achieved /Not Met	Actual Performance	Achieved /Not Met	
Referral to treatment time, 18 weeks in aggregate, admitted patients	90%	No	90.58%	Achieved	91.1%	Achieved	
Referral to treatment time, 18 weeks in aggregate, non-admitted patients	95%	No	97.37%	Achieved	98.1%	Achieved	
Referral to treatment time, 18 weeks in aggregate, incomplete pathways	92%	No	93.59%	Achieved	92.6%	Achieved	
A&E Clinical Quality- Total Time in A&E under 4 hours	95%	No	98.60%	Achieved	98.2%	Achieved	Provisional figures until open exeter extract is downloaded
Cancer 62 Day Waits for first treatment (from urgent GP referral)	85%	No	87.72%	Achieved	94.4%	Achieved	Provisional figures until open exeter extract is downloaded
Cancer 62 Day Waits for first treatment (from NHS Cancer Screening Service referral)	90%	No	100.00%	Achieved	100.0%	Achieved	Provisional figures until open exeter extract is downloaded
Cancer 31 day wait for second or subsequent treatment - surgery	94%	No		Achieved	N/A	Achieved	Provisional figures until open exeter extract is downloaded
Cancer 31 day wait for second or subsequent treatment - drug treatments	98%	No		Achieved	N/A	Achieved	Provisional figures until open exeter extract is downloaded
Cancer 31 day wait for second or subsequent treatment - radiotherapy	94%	No	0.00%	Not relevant	0.0%	Not relevant	
Cancer 31 day wait from diagnosis to first treatment	96%	No	97.40%	Achieved	98.1%	Achieved	Provisional figures until open exeter extract is downloaded
Cancer 2 week (all cancers)	93%	No	95.50%	Achieved	97.4%	Achieved	Provisional figures until open exeter extract is downloaded
Cancer 2 week (breast symptoms)	93%	No	0.00%	Not relevant	0.0%	Not relevant	
Care Programme Approach (CPA) follow up within 7 days of discharge	95%	No	0.00%	Not relevant	0.0%	Not relevant	
Care Programme Approach (CPA) formal review within 12 months	95%	No	0.00%	Not relevant	0.0%	Not relevant	
Admissions had access to crisis resolution / home treatment teams	95%	No	0.00%	Not relevant	0.0%	Not relevant	
Meeting commitment to serve new psychosis cases by early intervention teams	95%	No	0.00%	Not relevant	0.0%	Not relevant	
Ambulance Category A 8 Minute Response Time - Red 1 Calls	75%	No	0.00%	Not relevant	0.0%	Not relevant	
Ambulance Category A 8 Minute Response Time - Red 2 Calls	75%	No	0.00%	Not relevant	0.0%	Not relevant	
Ambulance Category A 19 Minute Transportation Time	95%	No	0.00%	Not relevant	0.0%	Not relevant	
Clostridium Difficile -meeting the C.Diff objective	16	Yes	0	Achieved	1	Achieved	
MRSA - meeting the MRSA objective	0	No	2	Achieved	3	Achieved	The plan should read 6 and not 0. Please refer to commentary.
Minimising MH delayed transfers of care	7.5%	No	0.00%	Not relevant	0.0%	Not relevant	
Data completeness, MH: identifiers	97%	No	0.00%	Not relevant	0.0%	Not relevant	
Data completeness, MH: outcomes	50%	No	0.00%	Not relevant	0.0%	Not relevant	
Compliance with requirements regarding access to healthcare for people with a learning disability	N/A	No	100.00%	Achieved	100.0%	Achieved	
Community care - referral to treatment information completeness	50%	No	0.00%	Not relevant	0.0%	Not relevant	
Community care - referral information completeness	50%	No	0.00%	Not relevant	0.0%	Not relevant	
Community care - activity information completeness	50%	No	0.00%	Not relevant	0.0%	Not relevant	

Risk of, or actual, failure to deliver Commissioner Requested Services	N/A	No		No		No	
CQC compliance action outstanding (as at 30 Sep 2013)	N/A	No		No		No	
CQC enforcement action within last 12 months (as at 30 Sep 2013)	N/A	No		No		No	
CQC enforcement action (including notices) currently in effect (as at 30 Sep 2013)	N/A	No		No		No	
Moderate CQC concerns or impacts regarding the safety of healthcare provision (as at 30 Sep 2013)	N/A	No		No		No	
Major CQC concerns or impacts regarding the safety of healthcare provision (as at 30 Sep 2013)	N/A	No		No		No	
Trust unable to declare ongoing compliance with minimum standards of CQC registration	N/A	No		No		No	

Results left to complete 0

Override Rating (if any)

Compliance Framework Indicative Governance Risk Rating

0

AMBER-GREEN

0

GREEN

0

Finance Risk Indicators for Chelsea and Westminster

Please respond "True" or "False" in the yellow cells below to statements 3 to 7 inclusive

Finance Risk Indicators

Response

1	Unplanned decrease in (quarterly) EBITDA margin in two consecutive quarters	FALSE
2	Trust is unable to certify that Board anticipates that the Quarterly FRR will be at least 3 over the next 12 months (from Governance Statement)	FALSE
3	Working capital facility (WCF) was used at any point in the quarter ending 30 Sep 2013	FALSE
4	Debtors > 90 days past due account for more than 5% of total debtor balances	TRUE
5	Creditors > 90 days past due account for more than 5% of total creditor balances	FALSE
6	Two or more changes in Finance Director in a twelve month period	FALSE
7	Interim Finance Director in place over more than one quarter end	FALSE
8	Quarter end cash balance <10 days of (annualised) operating expenses	FALSE
9	Capital expenditure < 85% of Latest Plan for the year to date	FALSE
10	Capital expenditure > 115% of Latest Plan for the year to date	FALSE

Note: Once your financial results are entered in SoCI, SoFP and SoCF the "?" cells will be calculated

0

Notes: As set out in Monitor's Compliance Framework 2013-14, Monitor will separately consider this limited set of indicators to highlight the potential for any future material financial risk. Where Monitor believes that one or more of these indicators are present at an NHS foundation trust, Monitor will consider whether an earlier meeting with the trust to discuss them is appropriate. Following this meeting, Monitor may request the preparation of plans, or the provision of other assurances as to an NHS foundation trust's capacity to mitigate any potential risk. The use of these indicators will not form part of the formal regulatory framework or Monitor's approach to the potential use of its statutory powers of intervention.

In Year Governance Statement from the Board of Chelsea and Westminster

The board are required to respond "Confirmed" or "Not confirmed" to the following statements (see notes below)

For finance, that:

Board Response

4 The board anticipates that the trust will continue to maintain a financial risk rating of at least 3 over the next 12 months.

For governance, that:

11 The board is satisfied that plans in place are sufficient to ensure: ongoing compliance with all existing targets (after the application of thresholds) as set out in Appendix A of the Risk Assessment Framework; and a commitment to comply with all known targets going forwards.

Otherwise

The board confirms that there are no matters arising in the quarter requiring an exception report to Monitor (per Compliance Framework page 16 Diagram 8 and page 58, and the Risk Assessment Framework page 21, Diagram 6) which have not already been reported.

Signed on behalf of the board of directors

Signature

Sign Here.

Signature

Sign Here.

Name:

Name:

Capacity:

Capacity:

Date:

Date:

Notes: Monitor will accept either 1) electronic signatures pasted into this worksheet or 2) hand written signatures on a paper printout of this declaration posted to Monitor to arrive by the submission deadline.

In the event than an NHS foundation trust is unable to confirm these statements it should NOT select 'Confirmed' in the relevant box. It must provide a response (using the section below) explaining the reasons for the absence of a full certification and the action it proposes to take to address it.

This may include include any significant prospective risks and concerns the foundation trust has in respect of delivering quality services and effective quality governance.

Monitor may adjust the relevant risk rating if there are significant issues arising and this may increase the frequency and intensity of monitoring for the NHS foundation trust.

The board is unable to make one of more of the confirmations in the section above on this page and accordingly responds:

A

B

C

Worksheet "Governance Statement"

In Year Governance Statement from the Board of [MARSID]

The board are required to respond "Confirmed" or "Not confirmed" to the following statements (see notes below)

For finance, that:	Board Response
4 The board anticipates that the trust will continue to maintain a continuity of service risk rating of at least 3 over the next 12 months.	<input type="text" value="Confirmed"/>
For governance, that:	
11 The board is satisfied that plans in place are sufficient to ensure: ongoing compliance with all existing targets (after the application of thresholds) as set out in Appendix A of the Risk Assessment Framework; and a commitment to comply with all known targets going forwards.	<input type="text" value="Confirmed"/>
Otherwise	
The board confirms that there are no matters arising in the quarter requiring an exception report to Monitor (per Compliance Framework	<input type="text" value="Confirmed"/>

Signed on behalf of the board of directors

<p>Signature _____</p> <p>Name: <input type="text" value="Tony Bell"/></p> <p>Capacity: <input type="text" value="Chief Executive"/></p> <p>Date: <input type="text"/></p>	<p>Signature _____</p> <p>Name: <input type="text" value="Lorraine Bewes"/></p> <p>Capacity: <input type="text" value="Chief Financial Officer"/></p> <p>Date: <input type="text"/></p>
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Notes: Monitor will accept either 1) electronic signatures pasted into this worksheet or 2) hand written signatures on a paper printout of this declaration posted In the event than an NHS foundation trust is unable to confirm these statements it should NOT select 'Confirmed' in the relevant box. It must provide a This may include include any significant prospective risks and concerns the foundation trust has in respect of delivering quality services and effective Monitor may adjust the relevant risk rating if there are significant issues arising and this may increase the frequency and intensity of monitoring for the

The board is unable to make one of more of the confirmations in the section above on this page and accordingly responds:

A

B

C